

**AGREEMENT BETWEEN  
THE CITY OF SAN DIEGO  
AND  
KIMLEY-HORN AND ASSOCIATES, INC.  
FOR  
DESIGN OF CABRILLO PALISADES 600  
REZONING  
CONTRACT NUMBER: H2426339-M**

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**AGREEMENT BETWEEN  
THE CITY OF SAN DIEGO  
AND KIMLEY-HORN  
FOR DESIGN PROFESSIONAL SERVICES**

THIS Agreement is made and entered into between the City of San Diego, a municipal corporation [City], and Kimley-Horn [Design Professional] for the Design Professional to provide Professional Services to the City for the Design of Cabrillo Palisades 600 Rezoning [Project].

**RECITALS**

The City wants to retain the services of a professional civil engineering firm to provide civil engineering services [Professional Services].

The Design Professional represents that it has the expertise, experience and personnel necessary to provide the Professional Services for the Project.

The City and the Design Professional [Parties] want to enter into an Agreement whereby the City will retain the Design Professional to provide, and the Design Professional shall provide, the Professional Services for the Project [Agreement].

In consideration of the above recitals and the mutual covenants and conditions set forth, herein, and for good and valuable consideration, the sufficiency of which are hereby acknowledged, the Parties hereby set forth their mutual covenants and understandings as follows:

**ARTICLE I  
DESIGN PROFESSIONAL SERVICES**

The above-listed recitals are true and correct and are hereby incorporated by reference.

**1.1 Scope of Services.** The Design Professional shall perform the Professional Services as set forth in the written Scope of Services [Exhibit A] at the direction of the City.

**1.2 Contract Administrator.** The Engineering & Capital Projects is the contract administrator for this Agreement. The Design Professional shall provide the Professional Services under the direction of a designated representative of the Engineering & Capital Projects. The City's designated representative will communicate with the Design Professional on all matters related to the administration of this Agreement and the Design Professional's performance of the Professional Services rendered hereunder. When this Agreement refers to communications to or with the City, those communications will be with the designated representative, unless the designated representative or the Agreement specifies otherwise. However, when this Agreement refers to an act or approval to be performed by the City, that act or approval shall be performed by the Mayor or his designee, unless the Agreement specifies otherwise.

**1.3 City Modification of Scope of Services.** The City may, without invalidating this Agreement, order changes in the Scope of Services by altering, adding to or deducting from

the Professional Services to be performed. All such changes shall be in writing and shall be performed in accordance with the provisions of this Agreement. If any such changes cause an increase or decrease in the Design Professional's cost of, or the time required for, the performance of any of the Professional Services, the Design Professional shall immediately notify the City. If the City deems it appropriate, an equitable adjustment to the Design Professional's compensation or time for performance may be made, provided that any adjustment must be approved by both Parties in writing in accordance with Section 9.1 of this Agreement.

**1.4 Written Authorization.** Prior to performing any Professional Services in connection with the Project, the Design Professional shall obtain from the City a written authorization to proceed. Further, throughout the term of this Agreement, the Design Professional shall immediately advise the City in writing of any anticipated change in the Scope of Services [Exhibit A], Compensation and Fee Schedule [Exhibit B], or Time Schedule [Exhibit C], and shall obtain the City's written consent to the change prior to making any changes. In no event shall the City's consent be construed to relieve the Design Professional from its duty to render all Professional Services in accordance with applicable laws and accepted industry standards.

**1.5 Confidentiality of Services.** All Professional Services performed by the Design Professional, including but not limited to all drafts, data, correspondence, proposals, reports, and estimates compiled or composed by the Design Professional, pursuant to this Agreement, are for the sole use of the City, its agents and employees. Neither the documents nor their contents shall be released to any third party without the prior written consent of the City. This provision does not apply to information that (a) was publicly known, or otherwise known to the Design Professional, at the time that it was disclosed to the Design Professional by the City, (b) subsequently becomes publicly known through no act or omission of the Design Professional, or (c) otherwise becomes known to the Design Professional other than through disclosure by the City. Except for Subcontractors covered by Section 4.4, neither the documents nor their contents shall be released to any third party without the prior written consent of the City.

**1.6 Competitive Bidding.** The Design Professional shall ensure that any plans and specifications prepared, required, or recommended under this Agreement allow for competitive bidding. The Design Professional shall design such plans or specifications so that procurement of services, labor or materials are not available from only one source, and shall not design plans and specifications around a single or specific product, piece of major equipment or machinery, a specific patented design, or a proprietary process, unless required by principles of sound engineering practice and supported by a written justification that has been approved in writing by the City. The Design Professional shall submit this written justification to the City prior to beginning work on such plans or specifications. Whenever the Design Professional recommends a specific product or equipment for competitive procurement, such recommendation shall include at least two brand names of products that are capable of meeting the functional requirements applicable to the Project.

## **ARTICLE II DURATION OF AGREEMENT**

**2.1 Term of Agreement.** This Agreement shall be effective on the date it is executed by the last Party to sign the Agreement and approved by the City Attorney in accordance with San Diego Charter Section 40. Unless otherwise terminated, it shall be effective until completion of the Scope of Services for no more than 60 months following the date of its execution by the city, unless said duration is modified in writing by an

amendment to this agreement. Any extension beyond 60 months (5 years) will require City Council approval via Ordinance.

**2.2 Time of Essence.** Time is of the essence for each provision of this Agreement, unless otherwise specified in this Agreement. The time for performance of the Scope of Services [Exhibit A] is set forth in the Time Schedule [Exhibit C].

**2.3 Notification of Delay.** The Design Professional shall immediately notify the City in writing if the Design Professional experiences or anticipates experiencing a delay in performing the Professional Services within the time frames set forth in the Time Schedule [Exhibit C]. The written notice shall include an explanation of the cause for, and a reasonable estimate of the length of the delay. If in the opinion of the City, the delay affects a material part of the Project, the City may exercise its rights under Sections 2.5–2.7 of this Agreement.

**2.4 Delay.** If delays in the performance of the Professional Services are caused by unforeseen events beyond the control of the Parties, such delay may entitle the Design Professional to a reasonable extension of time, but such delay shall not entitle the Design Professional to damages or additional compensation. Any such extension of time must be approved in writing by the City. The following conditions may constitute such a delay: war; changes in law or government regulation; labor disputes; strikes; fires, floods, adverse weather or other similar condition of the elements necessitating cessation of the Design Professional's work; inability to obtain materials, equipment, or labor; required additional Professional Services; or other specific reasons agreed to between the City and the Design Professional; provided, however, that: (a) this provision shall not apply to, and the Design Professional shall not be entitled to an extension of time for, a delay caused by the acts or omissions of the Design Professional; and (b) a delay caused by the inability to obtain materials, equipment, or labor shall not entitle the Design Professional to an extension of time unless the Design Professional furnishes the City, in a timely manner, documentary proof satisfactory to City of the Design Professional's inability to obtain materials, equipment, or labor.

**2.5 City's Right to Suspend for Convenience.** The City may, at its sole option and for its convenience, suspend all or any portion of the Design Professional's performance of the Professional Services, for a reasonable period of time not to exceed six months. In accordance with the provisions of this Agreement, the City will give written notice to the Design Professional of such suspension. In the event of such a suspension, in accordance with the provisions of Article III of this Agreement, the City shall pay to the Design Professional a sum equivalent to the reasonable value of the Professional Services the Design Professional has satisfactorily performed up to the date of suspension. Thereafter, the City may rescind such suspension by giving written notice of rescission to the Design Professional. The City may then require the Design Professional to resume performance of the Professional Services in compliance with the terms and conditions of this Agreement; provided, however, that the Design Professional shall be entitled to an extension of time equal to the length of the suspension, unless otherwise agreed to in writing by the Parties.

**2.6 City's Right to Terminate for Convenience.** The City may, at its sole option and for its convenience, terminate all or any portion of the Professional Services agreed to pursuant to this Agreement by giving written notice of such termination to the Design Professional. Such notice shall be delivered by certified mail with return receipt for delivery to the City. The termination of the Professional Services shall be effective upon receipt of the notice by the Design Professional. After termination of this Agreement, the Design Professional shall complete any and all additional work necessary for the orderly filing of documents and closing of the Design Professional's Professional Services under this



Agreement. For services satisfactorily rendered in completing the work, the Design Professional shall be entitled to fair and reasonable compensation for the Professional Services performed by the Design Professional before the effective date of termination. After filing of documents and completion of performance, the Design Professional shall deliver to the City all drawings, plans, calculations, specifications and other documents or records related to both the Project and to the Design Professional's Professional Services on the Project. By accepting payment for completion, filing and delivering documents as called for in this paragraph, the Design Professional discharges the City of all of the City's payment obligations and liabilities under this Agreement.

**2.7 City's Right to Terminate for Default.** If the Design Professional fails to satisfactorily perform any obligation required by this Agreement, the Design Professional's failure constitutes a Default. A Default includes the Design Professional's failure to adhere to the Time Schedule. If the Design Professional fails to satisfactorily cure a Default within ten calendar days of receiving written notice from the City specifying the nature of the Default, the City may immediately cancel and/or terminate this Agreement, and terminate each and every right of the Design Professional, and any person claiming any rights by or through the Design Professional under this Agreement. The rights and remedies of the City enumerated in this Section are cumulative and shall not limit, waive, or deny any of the City's rights under any other provision of this Agreement. Nor does this Section otherwise waive or deny any right or remedy, at law or in equity, existing as of the date of this Agreement or hereinafter enacted or established, that may be available to the City against the Design Professional.

### **ARTICLE III COMPENSATION**

**3.1 Amount of Compensation.** The City shall pay the Design Professional for performance of all Professional Services rendered in accordance with this Agreement, including reasonably related expenses, a total contract amount not exceeding \$2,185,637.00. The compensation for the Scope of Services shall not exceed \$1,985,637.00, and the compensation for Additional Services (described in Section 3.2), if any, shall not exceed \$200,000.00.

**3.2 Additional Services.** The City may require that the Design Professional perform additional Professional Services [Additional Services] beyond those described in the Scope of Services [Exhibit A]. Prior to the Design Professional's performance of Additional Services, the City and the Design Professional must agree in writing upon a fee for the Additional Services, including reasonably related expenses, in accordance with the Compensation and Fee Schedule [Exhibit B]. The City will pay the Design Professional for the performance of Additional Services in accordance with Section 3.3.

**3.3 Manner of Payment.** The City shall pay the Design Professional in accordance with the Compensation and Fee Schedule [Exhibit B]. For the duration of this Agreement, the Design Professional shall not be entitled to fees, including fees for expenses, that exceed the amounts specified in the Compensation and Fee Schedule. The Design Professional shall submit one invoice per calendar month in a form acceptable to the City in accordance with the Compensation and Fee Schedule. The Design Professional shall include with each invoice a description of completed Professional Services, reasonably related expenses, if any, and all other information, including but not limited to: the progress percentage of the Scope of Services and/or deliverables completed prior to the invoice date, as required by the City. The City will pay undisputed portions of invoices within thirty calendar days of receipt.

**3.4 Additional Costs.** Additional Costs are those costs that can be reasonably determined to be related to the Design Professional's errors or omissions, and may include Design Professional, City, or Subcontractor overhead, construction, materials, demolition, and related costs. The Design Professional shall not be paid for the Professional Services required due to the Design Professional's errors or omissions, and the Design Professional shall be responsible for any Additional Costs associated with such errors or omissions. These Additional Costs may be deducted from monies due, or that become due, the Design Professional. Whether or not there are any monies due, or becoming due, the Design Professional shall reimburse the City for Additional Costs due to the Design Professional's errors or omissions.

**3.5 Eighty Percent Notification.** The Design Professional shall promptly notify the City in writing of any potential cost overruns. Cost overruns include, but are not limited to the following: (1) where anticipated costs to be incurred in the next sixty calendar days, when added to all costs previously incurred, will exceed 80 percent of the maximum compensation for this Agreement; or (2) where the total cost for performance of the Scope of Services [Exhibit A] appears that it may be greater than the maximum compensation for this Agreement.

#### **ARTICLE IV DESIGN PROFESSIONAL'S OBLIGATIONS**

**4.1 Industry Standards.** The Design Professional agrees that the Professional Services rendered under this Agreement shall be performed in accordance with the standards customarily adhered to by an experienced and competent professional civil engineering firm using the degree of care and skill ordinarily exercised by reputable professionals practicing in the same field of service in the State of California. Where approval by the City, the Mayor or his designee, or other representatives of the City is required, it is understood to be general approval only and does not relieve the Design Professional of responsibility for complying with all applicable laws, codes, and good consulting practices.

#### **4.2 Right to Audit.**

**4.2.1 Access.** The City retains the right to review and audit, and the reasonable right of access to Design Professional's and any Subcontractor's premises to review and audit the Design Professional's or Subcontractor's compliance with the provisions of this Agreement [City's Right]. The City's Right includes the right to inspect and photocopy same, and to retain copies, outside of the Design Professional's premises, of any and all Project-related records with appropriate safeguards, if such retention is deemed necessary by the City in its sole discretion. This information shall be kept by the City in the strictest confidence allowed by law.

**4.2.2 Audit.** The City's Right includes the right to examine any and all books, records, documents and any other evidence of procedures and practices that the City determines are necessary to discover and verify that the Design Professional or Subcontractor is in compliance with all requirements under this Agreement.

**4.2.2.1 Cost Audit.** If there is a claim for additional compensation or for Additional Services, the City's Right includes the right to examine books, records, documents, and any and all other evidence and accounting procedures and practices that the City determines are necessary to discover and verify all direct and indirect costs, of whatever nature, which are claimed to have been incurred, or anticipated to be incurred.

**4.2.2.2 Accounting Records.** The Design Professional and all Subcontractors shall maintain complete and accurate records in accordance with Generally Accepted Accounting Practices in the industry. The Design Professional and Subcontractors shall make available to the City for review and audit; all Project-related accounting records and documents, and any other financial data. Upon the City's request, the Design Professional and Subcontractors shall submit exact duplicates of originals of all requested records to the City.

**4.2.3 City's Right Binding on Subcontractors.** The Design Professional shall include the City's Right as described in Section 4.2, in any and all of their subcontracts, and shall ensure that these sections are binding upon all Subcontractors.

**4.2.4 Compliance Required before Mediation or Litigation.** A condition precedent to proceeding with mandatory mediation and further litigation provided for in Article VII is the Design Professional's and Subcontractors full compliance with the provisions of this Section 4.2 within sixty days of the date on which the City mailed a written request to review and audit compliance.

**4.3 Insurance.** The Design Professional shall not begin the Professional Services under this Agreement until it has: (a) obtained, and provided to the City, insurance certificates and endorsements reflecting evidence of all insurance required in Article IV, Section 4.3.1; and (b) confirmed that all policies contain the specific provisions required in Article IV, Section 4.3.4 of this Agreement. However, failure to obtain City approval of the required documents prior to the Professional Services commencing shall not waive Design Professional's obligation to provide them. City reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by this Agreement, at any time. Design Professional's liabilities, including but not limited to Design Professional's indemnity obligations, under this Agreement, shall not be deemed limited in any way to the insurance coverage required herein. If Design Professional maintains broader coverage or higher limits than the minimums shown below, City requires and shall be entitled to the broader coverage or the higher limits maintained by Design Professional. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to City. Except as provided for under California law, all policies of insurance required hereunder must provide that the City is entitled to thirty (30) days prior written notice (10 days for cancellation due to non-payment of premium) of cancellation or non-renewal of the policy or policies. Maintenance of specified insurance coverage is a material element of this Agreement and Design Professional's failure to maintain or renew coverage or to provide evidence of renewal during the term of this Agreement may be treated as a material breach of contract by the City.

Further, the Design Professional shall not modify any policy or endorsement thereto which increases the City's exposure to loss for the duration of this Agreement.

**4.3.1 Types of Insurance.** At all times during the term of this Agreement, the Design Professional shall maintain insurance coverage as follows:

**4.3.1.1 Commercial General Liability.** The Design Professional shall keep in full force and effect Commercial General Liability (CGL) Insurance written on an ISO Occurrence form CG 00 01 07 98 or an equivalent form providing coverage at least as broad which shall cover liability arising from any and all personal injury or property damage in the amount of \$2,000,000 per occurrence and subject to an annual aggregate of \$4,000,000. There shall be no endorsement or modification of the CGL limiting the scope of coverage for either insured vs. insured claims or contractual liability. All defense costs shall be outside the limits of the policy.

**4.3.1.2 Commercial Automobile Liability.** For all of the Design Professional's automobiles including owned, hired and non-owned automobiles, the Design Professional shall keep in full force and effect, automobile insurance written on an ISO form CA 00 01 12 90 or a later version of this form or an equivalent form providing coverage at least as broad for bodily injury and property damage for a combined single limit of \$1,000,000 per occurrence. Insurance certificate shall reflect coverage for any automobile (any auto). If the Design Professional does not possess owned automobiles then coverage for hired and non-owned automobiles shall be provided.

**4.3.1.3 Workers' Compensation and Employer's Liability.** For all of the Design Professional's employees who are subject to this Agreement the Design Professional shall keep in full force and effect, Workers' Compensation Insurance as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease.

**4.3.1.4 Architects & Engineers Professional Liability.** For all of the Design Professional's employees who are subject to this Agreement, the Design Professional shall keep in full force and effect, Professional Liability coverage for professional liability with a limit of \$3,000,000 per claim and \$3,000,000 annual aggregate. The Design Professional shall ensure both that: (1) the policy retroactive date is on or before the date of commencement of the Professional Services; and (2) the policy will be maintained in force for a period of three years after substantial completion of the Professional Services or termination of this Agreement whichever occurs last. The Design Professional agrees that for the time period defined above, there will be no changes or endorsements to the policy that increase the City's exposure to loss.

**4.3.1.5 Contractors Pollution Liability Insurance.** [Design Professional shall procure and maintain at [Design Professional's expense or require [Design Professional's Subcontractor, as described below, to procure and maintain Contractors Pollution Liability Insurance applicable to the Professional Services being performed, with a limit no less than \$1,000,000 per claim or occurrence and \$2,000,000 aggregate per policy period of one year.

[Design Professional shall obtain written approval from the City for any insurance provided by [Design Professional's Subcontractor instead of [Design Professional.

For approval of a substitution of [Design Professional's Subcontractor's insurance, the [Design Professional shall certify that all activities for which the Contractors Pollution Liability Insurance will provide coverage will be performed exclusively by the Subcontractor providing the insurance. The deductible shall not exceed \$25,000 per claim unless the City has provided prior, written approval.

Occurrence based policies shall be procured before the Professional Services commence. Claims Made policies shall be procured before the Professional Services commence, shall be maintained for the duration of this Agreement, and shall include a 12-month extended Claims Discovery Period applicable to this Agreement or the existing policy or policies that shall continue to be maintained for 12 months after the completion of the Professional Services without advancing the retroactive date.

For consultant agreements where there is a pollution exposure and [Design Professional's manuscript Architects & Engineers Professional Liability policy affords pollution liability coverage, [Design Professional may, in lieu of providing separate Contractor's Pollution Liability Insurance, provide to City either; a.) the endorsement

affording pollution liability coverage under the Architects & Engineers Professional Liability policy, or, b.) a copy of the Architects & Engineers Professional Liability policy language where this is stated. The Architects & Engineers Professional Liability policy limits must reflect a minimum of \$3,000,000 per claim and \$5,000,000 annual aggregate if the manuscript Architects & Engineers Professional Liability policy affords pollution liability coverage.

**4.3.2 Deductibles.** Design Professional shall disclose deductibles and self-insured retentions to the City at the time the evidence of insurance is provided. The City may require Design Professional to purchase coverage with a lower retention or provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or City.

#### **4.3.3 Acceptability of Insurers.**

**4.3.3.1** Except for the State Compensation Insurance Fund, all insurance required by this Agreement shall only be carried by insurance companies with a rating of at least “A-, VI” by A.M. Best Company, that are authorized by the California Insurance Commissioner to do business in the State of California, and that have been approved by the City.

**4.3.3.2** The City will accept insurance provided by non-admitted, “surplus lines” carriers only if the carrier is authorized to do business in the State of California and is included on the List of Approved Surplus Lines Insurers (LASLI list). All policies of insurance carried by non-admitted carriers are subject to all of the requirements for policies of insurance provided by admitted carriers described herein.

#### **4.3.4 Required Endorsements.**

The following endorsements to the policies of insurance are required to be provided to the City before any work is initiated under this Agreement.

##### **4.3.4.1 Commercial General Liability Insurance Endorsements.**

**ADDITIONAL INSURED.** To the fullest extent permitted by law and consistent with the limiting provisions set forth at California Civil Code section 2782, California Insurance Code section 11580.04, and any applicable successor statutes limiting indemnification of public agencies that bind the City, the policy or policies shall be endorsed to include as an Additional Insured the City and its respective elected officials, officers, employees, agents, and representatives, with respect to liability arising out of:

- a. Ongoing operations performed by you or on your behalf,
- b. your products,
- c. your work, e.g., your completed operations performed by you or on your behalf, or
- d. premises owned, leased, controlled, or used by you.

**PRIMARY AND NON-CONTRIBUTORY COVERAGE.** The policy or policies must be endorsed to provide that the insurance afforded by the Commercial General Liability policy or policies is primary to any insurance or self-insurance of the City of San Diego and its elected officials, officers, employees, agents and representatives as respects operations of the Named Insured. Any insurance maintained by the City of San Diego and its elected officials, officers, employees, agents and representatives shall be in excess of Design Professional’s insurance and shall not contribute to it.

#### **4.3.4.2 Worker's Compensation and Employer's Liability Insurance**

##### **Endorsements.**

**WAIVER OF SUBROGATION.** The Worker's Compensation policy or policies must be endorsed to provide that the insurer will waive all rights of subrogation against the City and its respective elected officials, officers, employees, agents and representatives for losses paid under the terms of this policy or these policies which arise from work performed by the Named Insured for the City.

**4.3.5 Reservation of Rights.** The City reserves the right, from time to time, to review the Design Professional's insurance coverage, limits, deductible and self-insured retentions to determine if they are acceptable to the City. The City will reimburse the Design Professional for the cost of the additional premium for any coverage requested by the City in excess of what is required by this Agreement without overhead, profit, or any other markup.

**4.3.6 Additional Insurance.** The Design Professional may obtain additional insurance not required by this Agreement.

**4.3.7 Notice of Changes to Insurance.** Design Professional shall notify the City 30 days prior to any material change to the policies of insurance provided under this Agreement.

**4.3.8 Excess Insurance.** All policies providing excess coverage to the City shall follow the form of the primary policy or policies including but not limited to all endorsements.

#### **4.3.4.3 Contractors Pollution Liability Insurance Endorsements.**

**ADDITIONAL INSURED.** To the fullest extent allowed by law and consistent with the limiting provisions set forth at California Civil Code section 2782, California Insurance Code section 11580.04, and any applicable successor statutes limiting indemnification of public agencies that bind the City, the policy or policies shall be endorsed to include as an Additional Insured the City and its respective elected officials, officers, employees, agents, and representatives, with respect to liability arising out of: (a) ongoing operations performed by you or on your behalf, (b) your products, (c) your work, e.g., your completed operations performed by you or on your behalf, or d) premises owned, leased, controlled, or used by you.

**PRIMARY AND NON-CONTRIBUTORY COVERAGE.** The policy or policies shall be endorsed to provide that the insurance afforded by the Contractors Pollution Liability Insurance policy or policies is primary to any insurance or self-insurance of the City and its elected officials, officers, employees, agents and representatives with respect to operations including the completed operations of the Named Insured. Any insurance maintained by the City and its elected officials, officers, employees, agents and representatives shall be in excess of the [Design Professional's insurance and shall not contribute to it.

**SEVERABILITY OF INTEREST.** For Contractors Pollution Liability Insurance, the policy or policies shall provide that the [Design Professional's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability and shall provide cross-liability coverage.

**4.4 Subcontractors.** The Design Professional's hiring or retaining of any third parties [Subcontractors] to perform services related to the Project [Subcontractor Services] is subject to prior approval by the City. The Design Professional shall list on the Subcontractor

List [Exhibit D, Attachment CC] all Subcontractors known to the Design Professional at the time this Agreement is entered. If at any time after this Agreement is entered into, the Design Professional identifies a need for addition, deletion, or substitution of Subcontractor Services, the Design Professional must submit a written notice to the City requesting approval for the change modifying the Subcontractor Services. The Design Professional's written notice shall include a justification, a description of the scope of services, an estimate of all costs/percentage of contract participation for the Subcontractor Services, and an updated Exhibit D, Attachment CC reflecting the requested change(s). The City agrees to consider such requests in good faith.

**4.4.1 Subcontractor Contract.** All contracts entered into between the Design Professional and any Subcontractor shall contain the information as described in Sections 4.6, 4.7, 4.10.2, and 4.18, and shall also provide as follows:

**4.4.1.1** Design Professional shall require the Subcontractor to obtain insurance policies, as described in Section 4.3.1, and those policies shall be kept in full force and effect during any and all work on this Project and for the duration of this Agreement. Furthermore, Subcontractor policy limits, and required endorsements shall be determined by the Design Professional proportionate to the services performed by the Subcontractor.

**4.4.1.2** The Design Professional is obligated to pay the Subcontractor, for Design Professional and City-approved invoice amounts, out of amounts paid by the City to the Design Professional, not later than seven working days from the Design Professional's receipt of payment from the City. Nothing in this paragraph shall be construed to impair the right of the Design Professional and any Subcontractor to negotiate fair and reasonable pricing and payment provisions among themselves.

**4.4.1.3** In the case of a deficiency in the performance of Subcontractor Services, the Design Professional shall notify the City in writing of any withholding of payment to the Subcontractor, specifying: (a) the amount withheld; (b) the specific cause under the terms of the subcontract for withholding payment; (c) the connection between the cause for withholding payment and the amount withheld; and (d) the remedial action the Subcontractor must take in order to receive the amount withheld. Once the Subcontractor corrects the deficiency, the Design Professional shall pay the Subcontractor the amount withheld within fourteen working days of the Design Professional's receipt of the City's next payment.

**4.4.1.4** In any dispute between the Design Professional and Subcontractor, the City shall not be made a party to any judicial or administrative proceeding to resolve the dispute. The Design Professional agrees to defend and indemnify the City as described in Article VI of this Agreement in any dispute between the Design Professional and Subcontractor should the City be made a party to any judicial or administrative proceeding to resolve the dispute in violation of this position.

**4.4.1.5** The Subcontractor is bound to the City's Equal Opportunity Contracting Program covenants set forth in Article IV, Section 4.6 and [Exhibit D] of this Agreement.

**4.4.1.6** The City is an intended beneficiary of any work performed by the Subcontractor for purposes of establishing a duty of care between the Subcontractor and the City.

#### **4.5 Contract Records and Reports.**

**4.5.1** The Design Professional shall maintain records of all subcontracts entered into with all firms, all project invoices received from Subcontractors. Records shall show name, telephone number including area code, and business address of each Subcontractor and the total amount actually paid to each firm. Project relevant records, regardless of tier, may be periodically reviewed by the City.

**4.5.2** The Design Professional shall retain all records, books, papers, and documents directly pertinent to the Contract for a period of not less than five (5) years after Completion of the contract and allow access to said records by the City's authorized representatives.

**4.5.3** The Design Professional must submit the following reporting using the City's web-based contract compliance i.e., Prism® portal:

**4.5.3.1** Monthly Employment Utilization. Design Professional and their Subcontractors must submit Monthly Employment Utilization Reporting by the fifth (5th) day of the subsequent month.

**4.5.3.2** Monthly Invoicing and Payments. Design Professional and their Subcontractors must submit Monthly Invoicing and Payment Reporting by the fifth (5th) day of the subsequent month.

**4.5.3.3** To view the City's online tutorials on how to utilize PRISM® for compliance reporting, please visit: <http://stage.prismscompliance.com/etc/vendortutorials.htm> Incomplete and/or delinquent reporting may cause payment delays, non-payment of invoice, or both. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

#### **4.6 Non-Discrimination Requirements.**

**4.6.1 Compliance with the City's Equal Opportunity Contracting Program.** The Design Professional shall comply with the City's Equal Opportunity Contracting Program Design Professional Requirements [Exhibit D]. The Design Professional shall not discriminate against any employee or applicant for employment on any basis prohibited by law. The Design Professional shall provide equal opportunity in all employment practices. The Design Professional shall ensure that its Subcontractors comply with the City's Equal Opportunity Contracting Program Design Professional Requirements. Nothing in this Section shall be interpreted to hold the Design Professional liable for any discriminatory practice of its Subcontractors.

**4.6.2 Non-Discrimination Ordinance.** The Design Professional shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring or treatment of Subcontractors, vendors or suppliers. The Design Professional shall provide equal opportunity for Subcontractors to participate in subcontracting opportunities. The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions. This language shall be in contracts between the Design Professional and any Subcontractors, vendors and suppliers.

**4.6.3 Compliance Investigations.** Upon the City's request, the Design Professional agrees to provide to the City, within sixty calendar days, a truthful and complete



list of the names of all Subcontractors, vendors, and suppliers that the Design Professional has used in the past five years on any of its contracts that were undertaken within San Diego County, including the total dollar amount paid by the Design Professional for each subcontract or supply contract. The Design Professional further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance (San Diego Municipal Code sections 22.3501-22.3517) The Design Professional understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Design Professional up to and including contract termination, debarment, and other sanctions for violation of the provisions of the Nondiscrimination in Contracting Ordinance. The Design Professional further understands and agrees that the procedures, remedies and sanctions provided for in the Nondiscrimination Ordinance apply only to violations of said Nondiscrimination Ordinance.

**4.7 Drug-Free Workplace.** By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Drug-Free Workplace requirements set forth in Council Policy 100-17, adopted by San Diego Resolution R-277952 and incorporated into this Agreement by this reference. Council Policy 100-17 is available on line at <https://www.sandiego.gov/city-clerk/officialdocs>.

**4.7.1 Design Professional's Notice to Employees.** The Design Professional shall publish a statement notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance is prohibited in the workplace, and specifying the actions that will be taken against employees for violations of the prohibition.

**4.7.2 Drug-Free Awareness Program.** The Design Professional shall establish a drug-free awareness program to inform employees about: (1) the dangers of drug abuse in the workplace; (2) the policy of maintaining a drug-free workplace; (3) available drug counseling, rehabilitation, and employee assistance programs; (4) the penalties that may be imposed upon employees for drug abuse violations.

**4.7.3 Posting the Statement.** In addition to Section 4.7.1 above, the Design Professional shall post the drug-free policy in a prominent place.

**4.7.4 Subcontractor's Agreements.** The Design Professional further certifies that each contract for Subcontractor Services for this Project shall contain language that binds the Subcontractor to comply with the provisions of Article IV, Section 4.7 of this Agreement, as required by Sections 2.A.(1) through (3) of Council Policy 100-17. Design Professionals and Subcontractors shall be individually responsible for their own drug-free workplace program.

**4.8 Title 24/Americans with Disabilities Act Requirements.** Design Professional has sole responsibility for ensuring that all Project plans and other design services comply with all accessibility requirements under Title 24 of the California Code of Regulations, known as the California Building Code (Title 24), and under the Americans with Disabilities Act Accessibility Guidelines (ADAAG) in effect at the time the designs are submitted to the City for review. When a conflict exists between Title 24 and ADAAG, the most restrictive requirement shall be followed by Design Professional (i.e., that which provides the most access). Design Professional warrants and certifies that any and all plans and specifications prepared for the City in accordance with this agreement shall meet all requirements under Title 24 and ADAAG. Design Professional understands that while the City will be reviewing Design Professional's designs for compliance in specific and certain areas under Title 24 and ADAAG prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's access review process and its acceptance of Design

Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements under Title 24 and ADAAG.

**4.9 Product Endorsement.** The Design Professional acknowledges and agrees to comply with the provisions of City of San Diego Administrative Regulation 95.65, concerning product endorsement. Any advertisement identifying or referring to the City as the user of a product or service requires the prior written approval of the City.

**4.10 Conflict of Interest.** The Design Professional is subject to all federal, state and local conflict of interest laws, regulations, and policies applicable to public contracts and procurement practices, including but not limited to California Government Code sections 1090, et seq. and 81000, et seq., and the City of San Diego Ethics Ordinance, codified in the San Diego Municipal Code at sections 27.3501 to 27.3595.

**4.10.1** If, in performing the Professional Services set forth in this Agreement, any member of the Design Professional's organization makes, or participates in, a "governmental decision" as described in Title 2, section 18704 of the California Code of Regulations, or performs the same or substantially all the same duties for the City that would otherwise be performed by a City employee holding a position specified in the department's conflict of interest code, the individual shall be subject to a conflict of interest code requiring the completion of one or more statements of economic interests disclosing the individual's relevant financial interests. The determination as to whether any individual members of the Design Professional's organization must make disclosures of relevant financial interests is set forth in the Determination Form [Exhibit E].

**4.10.1.1** If a determination is made that certain individuals must disclose relevant financial interests, the statements of economic interests shall be made on Fair Political Practices Commission Form 700 and filed with the City Clerk. The individual shall file a Form 700 (Assuming Office Statement) within thirty calendar days of the City's determination that the individuals are subject to a conflict of interest code. Each year thereafter, the individuals shall also file a Form 700 (Annual Statement) on or before April 1, disclosing any financial interests held during the previous calendar year for which the individual was subject to a conflict of interest code. A Form 700 (Leaving Office Statement) shall also be filed when the individual discontinues services under this Agreement.

**4.10.1.2** If the City requires an individual member of the Design Professional's organization to file a statement of economic interests as a result of the Professional Services performed, the individual shall be considered a "City Official" subject to the provisions of the City of San Diego Ethics Ordinance, including the prohibition against lobbying the City for one year following the termination of this Agreement.

**4.10.2** The Design Professional shall establish and make known to its employees and agents appropriate safeguards to prohibit employees from using their positions for a purpose that is, or that gives the appearance of being, motivated by the desire for private gain for themselves or others, particularly those with whom they have family, business, or other relationships.

**4.10.3** The Design Professional and its Subcontractors having subcontracts amounting to 1% or more of the value of the Professional Services agreed to under this Agreement are precluded from participating in design services on behalf of the contractor, construction management, and any other construction services related in any way to these Professional Services without the prior written consent of the City.

**4.10.4** The Design Professional's personnel employed on the Project shall not accept gratuities or any other favors from any Subcontractors or potential Subcontractors. The Design Professional shall not recommend or specify any product, supplier, or contractor with whom the Design Professional has a direct or indirect financial or organizational interest or relationship that would violate conflict of interest laws, regulations, or policies.

**4.10.5** If the Design Professional violates any conflict of interest law or any of the provisions in this Section 4.10, the violation shall be grounds for immediate termination of this Agreement. Further, the violation subjects the Design Professional to liability to the City for attorneys' fees and all damages sustained as a result of the violation.

**4.11 Mandatory Assistance.** If a third party dispute or litigation, or both, arises out of, or relates in any way to the Professional Services provided under this Agreement, upon the City's request, the Design Professional, its agents, officers, and employees agree to assist in resolving the dispute or litigation. The Design Professional's assistance includes, but is not limited to, providing professional consultations, attending mediations, arbitrations, depositions, trials or any event related to the dispute resolution and/or litigation.

**4.12 Compensation for Mandatory Assistance.** The City will compensate the Design Professional for fees incurred for providing Mandatory Assistance as Additional Services under Section 3.2. If, however, the fees incurred for the Mandatory Assistance are determined, through resolution of the third party dispute or litigation, or both, to be attributable in whole, or in part, to the acts or omissions of the Design Professional, its agents, officers, and employees, the Design Professional shall reimburse the City. The City is then entitled to reimbursement of all fees paid to the Design Professional, its agents, officers, and employees for Mandatory Assistance.

**4.13 Attorney Fees related to Mandatory Assistance.** In providing the City with dispute or litigation assistance, the Design Professional or its agents, officers, and employees may incur expenses and/or costs. The Design Professional agrees that any attorney fees it may incur as a result of assistance provided under Section 4.11 are not reimbursable. The Parties agree this provision does not in any way affect their rights to seek attorney fees under Article VIII, Section 8.8 of this Agreement.

**4.14 Energy Conservation Specifications.** Technological advances in energy conservation devices such as Lighting and Heating, Ventilation, and Air Conditioning (HVAC), enable additional energy savings over that required by the State of California's Energy Efficiency Standards (Title 24, Part 6 of the California Code of Regulations). The Design Professional shall model the energy performance of the building using an acceptable computer model such as Energy Pro, EQuest, DOE-2, Power DOE, HAP 3.22, etc. and present the summary data to the City at or prior to 100 percent design. This analysis should include life cycle cost analysis showing recovery of construction costs through operation and maintenance costs (e.g., electricity and gas savings.) The Design Professional shall prepare a cost savings matrix that lists each device being considered and one, three, five and ten-year Project savings. The comparison shall include, but not be limited to, the following equipment: Lighting, HVAC, Water Heating, and Motors.

The Design Professional shall contact the SDG&E New Construction Program at (858) 636-5725 or the San Diego Regional Energy Office at (619) 595-5634 to integrate them into the design process to ensure maximum energy performance and access to technical resources. Design Professional shall endeavor to obtain from SDG&E a UTIL-1 (Utility Incentive Worksheet) to estimate energy savings and incentives available based on the design team energy modeling.

**4.15 Notification of Increased Construction Cost.** If, at any time prior to the City's approval of the final plans and specifications, the Design Professional anticipates that the total construction cost will exceed the estimated construction budget, the Design Professional shall immediately notify the City in writing. This written notification shall include an itemized cost estimate and a list of recommended revisions which the Design Professional believes will bring the construction cost to within the estimated construction budget. The City may either: (1) approve an increase in the amount authorized for construction; or (2) delineate a project which may be constructed for the budget amount; or (3) any combination of (1) and (2).

**4.16 Sustainable Building Policy.** The Design Professional shall comply with City Council Policy 900-14 (Sustainable Building Policy) in the performance of the Scope of Services, including but not limited to the requirement that all new or significantly remodeled City facilities shall be designed and constructed to achieve at a minimum the Leadership in Energy and Environmental Design (LEED) "Silver" Level Certification.

**4.17 Design-Build Competition Eligibility.** Any architectural firms, engineering firms, Design Professionals, or individuals retained by the City to assist the City with developing criteria or preparing the preliminary design or the request for proposals for a Design-Build competition shall not be eligible to participate with any Design-Build Entity in that Design-Build competition. Additionally, the City may determine in its sole discretion that a Subcontractor hired to assist with a Design-Build competition, regardless of whether the Subcontractor was hired by the City or hired by an architectural firm, engineering firm, Design Professional, or individual retained by the City, has a competitive advantage and as such is ineligible to participate in that Design-Build competition.

**4.18 Storm Water Management Discharge Control.** Design Professional shall comply with Chapter 4, Article 3, Division 3 of the San Diego Municipal Code, Storm Water Management Discharge Control and the Municipal Storm Water Permit (MS4) Permit, California Regional Water Quality Control Board Order No. R9-2013-0001 (amended by R9-2015-0001 and R9-2015-0100), Storm Water Standards Manual, as amended from time to time, and any and all Best Management Practice (BMP) guidelines and pollution elimination requirements as may be established by the Enforcement Official. Design Professional warrants and certifies that any and all plans, reports, and specifications prepared for the City in accordance with this agreement shall meet all requirements of the San Diego Municipal Code and Storm Water Standards Manual. Design Professional understands that while the City will be reviewing Design Professional's designs for storm water permit compliance prior to acceptance of Design Professional's designs, Design Professional understands and agrees that the City's Storm Water review process and its acceptance of Design Professional's designs in no way limits the Design Professional's obligations under this agreement to prepare designs that comply with all requirements of the San Diego Municipal Code and MS4 Permit.

The Design Professional shall review the completed Storm Water Applicability Checklist (DS-560) to confirm the project's appropriate storm water requirements. For all applicable projects, and to the maximum extent practicable, the Design Professional shall incorporate and include Source Control and Low Impact Development (LID) design features or Site Design BMPs on the construction plans. In addition, for Priority Development projects, the Design Professional shall prepare a Storm Water Quality Management Plan in accordance with the requirements of the Storm Water Standards Manual and prepare a BMP plan showing all permanent BMPs, LID designs, hydromodification management plan facilities, and include sufficient details and cross sections for construction.

Design Professional shall attend the Pre-construction meeting. The Project Manager will coordinate with the Design Professional on the inspection of the permanent BMP(s) during installation. Design Professional shall inspect and confirm that the permanent BMP was installed in accordance with the details on the plans and that the permanent BMP functions to meet the requirements of the MS4 Permit. Upon notification by the Project Manager, the Design Professional shall sign and stamp the Permanent BMP Self Certification on the plans or the Permanent BMP Self Certification Form (DS-563) prior to final acceptance by the City.

For projects requiring soil-disturbance work such as geotechnical borings, street coring and potholing as component of the design, the Design Professional shall complete a Minor Water Pollution Control Plan (DS-570), if applicable.

**4.19 ADA Certification.** By signing this Agreement the Design Professional agrees that it is aware of, and hereby certifies that it agrees to comply with, the City's Americans With Disabilities Act Compliance/City Contracts requirements set forth in Council Policy 100-04, adopted by San Diego Resolution R-282153 and incorporated into this Agreement by this reference. Council Policy 100-04 is available at <https://www.sandiego.gov/city-clerk/officialdocs>.

**4.20 Prevailing Wage Rates.** Prevailing wage rates apply to this Agreement.

Pursuant to San Diego Municipal Code section 22.3019, construction, alteration, demolition, repair and maintenance work performed under this Agreement is subject to State prevailing wage laws. For construction work performed under this Agreement cumulatively exceeding \$25,000 and for alteration, demolition, repair and maintenance work performed under this Agreement cumulatively exceeding \$15,000, the Design Professional and its subconsultants shall comply with State prevailing wage laws including, but not limited to, the requirements listed below.

**4.20.1 Compliance with Prevailing Wage Requirements.** Pursuant to sections 1720 through 1861 of the California Labor Code, the Design Professional and its subconsultants shall ensure that all workers who perform work under this Agreement are paid not less than the prevailing rate of per diem wages as determined by the Director of the California Department of Industrial Relations (DIR). This includes work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work.

**4.20.1.1** Copies of the prevailing rate of per diem wages also may be found at <http://www.dir.ca.gov/OPRL/DPreWageDetermination.htm>. The Design Professional and its subconsultants shall post a copy of the prevailing rate of per diem wages determination at each job site and shall make them available to any interested party upon request.

**4.20.1.2** The wage rates determined by the DIR refer to expiration dates. If the published wage rate does not refer to a predetermined wage rate to be paid after the expiration date, then the published rate of wage shall be in effect for the life of this Agreement. If the published wage rate refers to a predetermined wage rate to become effective upon expiration of the published wage rate and the predetermined wage rate is on file with the DIR, such predetermined wage rate shall become effective on the date following the expiration date and shall apply to this Agreement in the same manner as if it had been published in said publication. If the predetermined wage rate refers to one or more additional expiration dates with additional predetermined wage rates, which expiration dates occur during the life of this Agreement, each successive predetermined wage rate shall apply

to this Agreement on the date following the expiration date of the previous wage rate. If the last of such predetermined wage rates expires during the life of this Agreement, such wage rate shall apply to the balance of the Agreement.

**4.20.2 Penalties for Violations.** Design Professional and its subconsultants shall comply with California Labor Code section 1775 in the event a worker is paid less than the prevailing wage rate for the work or craft in which the worker is employed. This shall be in addition to any other applicable penalties allowed under Labor Code sections 1720 – 1861.

**4.20.3 Payroll Records.** Design Professional and its subconsultants shall comply with California Labor Code section 1776, which generally requires keeping accurate payroll records, verifying and certifying payroll records, and making them available for inspection. Design Professional shall require its subconsultants to also comply with section 1776. Design Professional and its subconsultants shall submit weekly certified payroll records online via the City's web-based Labor Compliance Program. Design Professional is responsible for ensuring its subconsultants submit certified payroll records to the City.

**4.20.3.1** In addition to the requirements in 4.20.3, the Design Professional and its subconsultants shall also furnish records specified in Labor Code section 1776 directly to the Labor Commissioner in the manner required by Labor Code section 1771.4.

**4.20.4 Apprentices.** Design Professional and its subconsultants shall comply with California Labor Code sections 1777.5, 1777.6 and 1777.7 concerning the employment and wages of apprentices. Design Professional shall be held responsible for the compliance of their subconsultants with sections 1777.5, 1777.6 and 1777.7.

**4.20.5 Working Hours.** Design Professional and its subconsultants shall comply with California Labor Code sections 1810 through 1815, including but not limited to: (i) restrict working hours on public works contracts to eight (8) hours a day and forty (40) hours a week, unless all hours worked in excess of eight (8) hours per day are compensated at not less than 1½ times the basic rate of pay; and (ii) specify penalties to be imposed on design professionals and subcontractors of \$25 per worker per day for each day the worker works more than eight (8) hours per day and forty (40) hours per week in violation of California Labor Code sections 1810 through 1815.

**4.20.6 Required Provisions for Subcontracts.** Design Professional shall include at a minimum a copy of the following provisions in any contract they enter into with a subconsultant: California Labor Code sections 1771, 1771.1, 1775, 1776, 1777.5, 1810, 1813, 1815, 1860 and 1861.

**4.20.7 Labor Code Section 1861 Certification.** Design Professional in accordance with California Labor Code section 3700 is required to secure the payment of compensation of its employees and by signing this Agreement, Design Professional certifies that "I am aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and I will comply with such provisions before commencing the performance of the work of this Agreement."

**4.20.8 Labor Compliance Program.** The City has its own Labor Compliance Program authorized in August 2011 by the DIR. The City will withhold contract payments when payroll records are delinquent or deemed inadequate by the City or other governmental entity, or it has been established after an investigation by the City or other governmental entity that underpayment(s) have occurred.

**4.20.9 Contractor and Subcontractor Registration Requirements.** This project is subject to compliance monitoring and enforcement by the DIR. A Design Professional or subcontractor shall not be qualified to bid on, be listed in a bid or proposal, subject to the requirements of section 4104 of the Public Contract Code, or engage in the performance of any contract for public work, as defined in this chapter, unless currently registered and qualified to perform public work pursuant to Labor Code section 1725.5. It is not a violation of this section for an unregistered contractor to submit a bid that is authorized by Section 7029.1 of the Business and Professions Code or by Section 10164 or 20103.5 of the Public Contract Code, provided the contractor is registered to perform public work pursuant to Section 1725.5 at the time the contract is awarded.

**4.20.9.1** A Design Professional's inadvertent error in listing a subconsultant who is not registered pursuant to Labor Code section 1725.5 in response to a solicitation shall not be grounds for filing a protest or grounds for considering the bid or proposal non-responsive provided that any of the following apply: (1) the subconsultant is registered prior to proposal due date; (2) within twenty-four hours after the proposal due date, the subconsultant is registered and has paid the penalty registration fee specified in Labor Code section 1725.5; or (3) the subconsultant is replaced by another registered subconsultant pursuant to Public Contract Code section 4107.

**4.20.9.2** By submitting a bid or proposal to the City, Design Professional is certifying that he or she has verified that all subcontractors used on this public work project are registered with the DIR in compliance with Labor Code sections 1771.1 and 1725.5, and Design Professional shall provide proof of registration for themselves and all listed subcontractors to the City at the time of bid or proposal due date or upon request.

**4.20.10 Stop Order.** For Design Professional or its subcontractor(s) engaging in the performance of any public work contract without having been registered in violation of Labor Code sections 1725.5 or 1771.1, the Labor Commissioner shall issue and serve a stop order prohibiting the use of the unregistered Design Professional or unregistered subcontractor(s) on ALL public works until the unregistered Design Professional or unregistered subcontractor(s) is registered. Failure to observe a stop order is a misdemeanor.

**4.20.11 List of all Subcontractors.** The Design Professional shall provide a complete list of subcontractors (regardless of tier) utilized on this Agreement, along with their DIR registration numbers, if applicable, prior to any work being performed on this Agreement, and Design Professional shall provide a complete list of subcontractors, regardless of tier, with each invoice. Additionally, Design Professional shall provide the City with a complete list of all subcontractors utilized on this Agreement, regardless of tier, within ten working days of the completion of the Agreement, along with their DIR registration numbers, if applicable. The City shall withhold final payment to Design Professional until at least thirty (30) days after this information is provided to the City.

**4.20.12 Exemptions for Small Projects.** There are limited exemptions for installation, alteration, demolition, or repair work done on projects of \$25,000 or less. The Design Professional shall still comply with Labor Code sections 1720 et. seq. The only recognized exemptions are listed below:

**4.20.12.1 Registration.** The Design Professional will not be required to register with the DIR for small projects. (Labor Code section 1771.1).

**4.20.12.2 Certified Payroll Records.** The records required in Labor Code section 1776 shall be required to be kept and submitted to the City of San Diego, but will not be required to be submitted online with the DIR directly. The Design Professional will need

to keep those records for at least three years following the completion of the Agreement. (Labor Code section 1771.4).

**4.20.12.3 List of all Subcontractors.** The Design Professional shall not be required to hire only registered subcontractors and is exempt from submitting the list of all subcontractors that is required in section 4.20.11 above. (Labor Code section 1773.3).

**ARTICLE V  
RESERVED**

**ARTICLE VI  
INDEMNIFICATION**

**6.1 Indemnification.** Other than in the performance of design professional services which shall be solely as addressed in Section 6.2 below, to the fullest extent permitted by law, Design Professional shall defend (with legal counsel reasonably acceptable to the City), indemnify and hold harmless the City and its officers, agents, departments, officials, and employees [Indemnified Parties] from and against all claims, losses, costs, damages, injuries (including, without limitation, injury to or death of an employee of Design Professional or its Subcontractors), expense and liability of every kind, nature and description (including, without limitation, incidental and consequential damages, court costs, attorneys' fees, litigation expenses and fees of expert consultants or expert witnesses incurred in connection therewith and costs of investigation) that arise out of, pertain to, or relate to, directly or indirectly, in whole or in part, any services performed under this Agreement by the Design Professional, any Subcontractor, anyone directly or indirectly employed by them, or anyone that they control. The Design Professional's duty to defend, indemnify, protect and hold harmless shall not include any claims or liabilities arising from the active negligence, sole negligence or sole willful misconduct of the Indemnified Parties.

**6.2 Design Professional Services Indemnification and Defense.**

**6.2.1 Design Professional Services Indemnification.** To the fullest extent permitted by law (including, without limitation, California Civil Code Section 2782.8), with respect to the performance of design professional services, Design Professional shall indemnify and hold harmless the City, its officers, or employees, from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

**6.2.2 Design Professional Services Defense.** Parties will work in good faith to procure applicable insurance coverage for the cost of any defense arising from all claims, demands or liability that arise out of, pertain to or relate to the negligence, recklessness, or willful misconduct of Design Professional or Design Professional's officers or employees.

**6.3 Insurance.** The provisions of this Article are not limited by the requirements of Section 4.3 related to insurance.

**6.4 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in this Article.

**ARTICLE VII  
MEDIATION**

**7.1 Mandatory Non-binding Mediation.** With the exception of Sections 2.5-2.7 of this Agreement, if a dispute arises out of, or relates to this Agreement, or the breach thereof,



and if said dispute cannot be settled through normal contract negotiations, prior to the initiation of any litigation, the Parties agree to attempt to settle the dispute in an amicable manner, using mandatory mediation under the Construction Industry Mediation Rules of the American Arbitration Association (AAA) or any other neutral organization agreed upon before having recourse in a court of law.

**7.2 Mandatory Mediation Costs.** The expenses of witnesses for either side shall be paid by the Party producing such witnesses. All other expenses of the mediation, including required traveling and other expenses of the mediator [Mediator], and the cost of any proofs or expert advice produced at the direct request of the Mediator, shall be borne equally by the Parties, unless they agree otherwise.

**7.3 Selection of Mediator.** A single Mediator that is acceptable to both Parties shall be used to mediate the dispute. The Mediator will be knowledgeable in construction aspects and may be selected from lists furnished by the AAA or any other agreed upon Mediator. To initiate mediation, the initiating Party shall serve a Request for Mediation on the opposing Party. If the Mediator is selected from a list provided by AAA, the initiating Party shall concurrently file with AAA a "Request for Mediation" along with the appropriate fees, a list of three requested Mediators marked in preference order, and a preference for available dates.

**7.3.1** If AAA is selected to coordinate the mediation, within ten working days from the receipt of the initiating Party's Request for Mediation, the opposing Party shall file the following: a list of preferred Mediators listed in preference order after striking any Mediators to which they have any factual objection, and a preference for available dates. If the opposing Party strikes all of initiating Party's preferred Mediators, opposing Party shall submit a list of three preferred Mediators listed in preference order to initiating Party and Administrator. Initiating Party shall file a list of preferred Mediators listed in preference order, after striking any Mediator to which they have any factual objection. This process shall continue until both sides have agreed upon a Mediator.

**7.3.2** The Administrator will appoint or the Parties shall agree upon the highest, mutually preferred Mediator from the individual Parties' lists who is available to serve within the designated time frame.

**7.3.3** If the Parties agree not to use AAA, then a Mediator, date and place for the mediation shall be mutually agreed upon.

**7.4 Conduct of Mediation Sessions.** Mediation hearings will be conducted in an informal manner and discovery will not be allowed. All discussions, statements, or admissions will be confidential to the Party's legal position. The Parties may agree to exchange any information they deem necessary.

**7.4.1** Both Parties must have an authorized representative attend the mediation. Each representative must have the authority to recommend entering into a settlement. Either Party may have attorney(s) or expert(s) present. Upon reasonable demand, either Party may request and receive a list of witnesses and notification whether attorney(s) will be present.

**7.4.2** Any agreements resulting from mediation shall be documented in writing. All mediation results and documentation, by themselves, shall be "non-binding" and inadmissible for any purpose in any legal proceeding, unless such admission is otherwise agreed upon, in writing, by both Parties. Mediators shall not be subject to any subpoena or liability and their actions shall not be subject to discovery.

**ARTICLE VIII**  
**INTELLECTUAL PROPERTY RIGHTS**

**8.1 Work For Hire.** All original designs, plans, specifications, reports, documentation, and other informational materials, whether written or readable by machine, originated or prepared exclusively for the City pursuant to this Agreement (Deliverable Materials) is “work for hire” under the United States Copyright law and shall become the sole property of the City and shall be delivered to the City upon request. The Design Professional, including its employees, and independent Subcontractor(s), shall not assert any common law or statutory patent, copyright, trademark, or any other intellectual proprietary right to the City to the Deliverable Materials.

**8.2. Rights in Data.** All rights including, but not limited to publication(s), registration of copyright(s), and trademark(s) in the Deliverable Materials, developed by the Design Professional, including its employees, agents, talent and independent Subcontractors pursuant to this Agreement are the sole property of the City. The Design Professional, including its employees, agents, talent, and independent Subcontractor(s), may not use any such Deliverable Materials mentioned in this article for purposes unrelated to Design Professional’s work on behalf of the City without prior written consent of the City.

**8.3 Intellectual Property Rights Assignment.** Design Professional, its employees, agents, talent, and independent Subcontractor(s) agree to promptly execute and deliver, upon request by City or any of its successors or assigns at any time and without further compensation of any kind, any power of attorney, assignment, application for copyright, patent, trademark or other intellectual property right protection, or other papers or instruments which may be necessary or desirable to fully secure, perfect or otherwise protect to or for the City, its successors and assigns, all right, title and interest in and to the content of the Deliverable Materials; and cooperate and assist in the prosecution of any action or opposition proceeding involving said rights and any adjudication of the same.

**8.4 Moral Rights.** Design Professional, its employees, agents, talent, and independent Subcontractor(s) hereby irrevocably and forever waives, and agrees never to assert, any Moral Rights in or to the Deliverable Materials which Design Professional, its employees, agents, talent, and independent Subcontractor(s), may now have or which may accrue to Design Professional, its employees, agents, talent, and independent Subcontractor(s)’ benefit under U.S. or foreign copyright laws and any and all other residual rights and benefits which arise under any other applicable law now in force or hereafter enacted. The term “Moral Rights” shall mean any and all rights of paternity or integrity in or to the Deliverable Materials and the right to object to any modification, translation or use of said content, and any similar rights existing under judicial or statutory law of any country in the world or under any treaty, regardless of whether or not such right is denominated or referred to as a moral right.

**8.5 Subcontracting.** In the event that Design Professional utilizes a Subcontractor(s) for any portion of the Work that is in whole or in part of the specified Deliverable Materials to the City, the agreement between Design Professional and the Subcontractor [Subcontractor Agreement] shall include a statement that identifies that the Deliverable Materials/Work product as a “work-for hire” as defined in the Act and that all intellectual property rights in the Deliverable Materials/Work product, whether arising in copyright, trademark, service mark or other belongs to and shall vest solely with the City. Further, the Subcontractor Agreement shall require that the Subcontractor, if necessary, shall grant, transfer, sell and assign, free of charge, exclusively to the City, all titles, rights and interests in and to said Work/Deliverable Materials, including all copyrights and other

intellectual property rights. City shall have the right to review any Subcontractor agreement for compliance with this provision.

**8.6 Publication Design.** Design Professional may not publish or reproduce any Deliverable Materials, for purposes unrelated to Design Professional's work on behalf of the City without prior written consent of the City.

**8.7 Intellectual Property Warranty and Indemnification.** Design Professional represents and warrants that any materials or deliverables, including all Deliverable Materials, provided under this contract are either original, not encumbered and do not infringe upon the copyright, trademark, patent or other intellectual property rights of any third party, or are in the public domain. If Deliverable Materials provided hereunder become the subject of a claim, suit or allegation of copyright, trademark or patent infringement, City shall have the right, in its sole discretion, to require Design Professional to produce, at Design Professional's own expense, new non-infringing materials, deliverables or Works as a means of remedying any claim of infringement in addition to any other remedy available to the City under law or equity. Design Professional further agrees to indemnify and hold harmless the City, its elected officials, officers, employees and agents from and against any and all claims, actions, costs, judgments or damages of any type alleging or threatening that any materials, deliverables, supplies, equipment, services, Deliverable Materials, or Works provided under this contract infringe the copyright, trademark, patent or other intellectual property or proprietary rights of any third party (Third Party Claims of Infringement). If a Third Party Claim of Infringement is threatened or made before Design Professional receives payment under this contract, City shall be entitled, upon written notice to Design Professional, to withhold some or all of such payment.

**8.8 Enforcement Costs.** The Design Professional agrees to pay any and all costs the City incurs enforcing the indemnity and defense provisions set forth in Article 8, including but not limited to, attorneys' fees.

## **ARTICLE IX MISCELLANEOUS**

**9.1 Notices.** In all cases where written notice is required under this Agreement, service shall be deemed sufficient if the notice is deposited in the United States mail, postage paid. Proper notice shall be effective on the date it is mailed, unless provided otherwise in this Agreement. For the purpose of this Agreement, unless otherwise agreed in writing, notice to the City shall be addressed to: Engineering & Capital Projects, c/o Maryam Kargar, 525 B Street, San Diego, CA 92101, [Mkargar@sandiego.gov](mailto:Mkargar@sandiego.gov) and notice to the Design Professional shall be addressed to: Kimley-Horn, Momo Savovic, 401 B Street, Suite 600, San Diego, CA 92101, [momcilo.savovic@kimley-horn.com](mailto:momcilo.savovic@kimley-horn.com).

**9.2 Headings.** All article headings are for convenience only and shall not affect the interpretation of this Agreement.

**9.3 Non-Assignment.** The Design Professional shall not assign the obligations under this Agreement, whether by express assignment or by sale of the company, nor any monies due or to become due, without the City's prior written approval. Any assignment in violation of this paragraph shall constitute a Default and is grounds for immediate termination of this Agreement, at the sole discretion of the City. In no event shall any putative assignment create a contractual relationship between the City and any putative assignee.

**9.4 Independent Contractors.** The Design Professional and any Subcontractors employed by the Design Professional shall be independent contractors and not agents of the City. Any provisions of this Agreement that may appear to give the City any right to direct the Design Professional concerning the details of performing the Professional Services, or to exercise any control over such performance, shall mean only that the Design Professional shall follow the direction of the City concerning the end results of the performance.

**9.5 Design Professional and Subcontractor Principals for Professional Services.** It is understood that this Agreement is for unique Professional Services. Retention of the Design Professional's Professional Services is based on the particular professional expertise of the following members of the Design Professional's organization: Madeline Priest and Sam McWhorter [Project Team]. Accordingly, performance of Professional Services under this Agreement may not be delegated to other members of the Design Professional's organization or to Subcontractors without the prior written consent of the City. It is mutually agreed that the members of the Project Team are the principal persons responsible for delivery of all Professional Services and may not be removed from the Project Team without the City's prior written approval. Removal of any member of the Project Team without notice and approval by the City may be considered a default of the terms and conditions of this Agreement by the Design Professional. In the event any member of the Project Team becomes unavailable for any reason, the City must be consulted as to any replacement. If the City does not approve of a proposed replacement, the City may terminate this Agreement pursuant to section 2.6 of this Agreement. Further, the City reserves the right, after consultation with the Design Professional, to require any of the Design Professional's employees or agents to be removed from performance of the Scope of Services.

**9.6 Additional Design Professionals or Contractors.** The City reserves the right to employ, at its own expense, such additional Design Professionals or contractors as the City deems necessary to perform work or to provide the Professional Services on the Project.

**9.7 Employment of City Staff.** This Agreement may be unilaterally and immediately terminated by the City, at its sole discretion, if the Design Professional employs an individual who, within the last twelve months immediately preceding such employment did, in the individual's capacity as an officer or employee of the City, participate in, negotiate with, or otherwise have an influence on the recommendation made to the City Council or Mayor in connection with the selection of the Design Professional.

**9.8 Covenants and Conditions.** All provisions of this Agreement, expressed as either covenants or conditions on the part of the City or the Design Professional, shall be deemed to be both covenants and conditions.

**9.9 Compliance with Controlling Law.** The Design Professional shall comply with all laws, ordinances, regulations, and policies of the federal, state, and local governments applicable to this Agreement, including California Labor Code section 1720 relating to the payment of prevailing wages during the design and preconstruction phases of a project, including inspection and land surveying work. In addition, the Design Professional shall comply immediately with all directives issued by the City or its authorized representatives under authority of any laws, statutes, ordinances, rules, or regulations. The laws of the State of California shall govern and control the terms and conditions of this Agreement.

**9.10 Jurisdiction.** The jurisdiction and applicable laws for any suit or proceeding concerning this Agreement, the interpretation or application of any of its terms, or any related disputes shall be in accordance with the laws of the State of California without regard to the conflicts or choice of law provisions thereof.

**9.11 Successors in Interest.** This Agreement and all rights and obligations created by this Agreement shall be in force and effect whether or not any Parties to the Agreement have been succeeded by another entity, and all rights and obligations created by this Agreement shall be vested and binding on any Party's successor in interest.

**9.12 Integration.** This Agreement and the Exhibits and references incorporated into this Agreement fully express all understandings of the Parties concerning the matters covered in this Agreement. No change, alteration, amendment, or modification of the terms or conditions of this Agreement, and no verbal understanding of the Parties, their officers, agents, or employees shall be valid unless made in the form of a written change agreed to in writing by both Parties. All prior negotiations and agreements are merged into this Agreement.

**9.13 Counterparts.** This Agreement may be executed in counterparts, which when taken together shall constitute a single signed original as though all Parties had executed the same page.

**9.14 No Waiver.** No failure of either the City or the Design Professional to insist upon the strict performance by the other of any covenant, term or condition of this Agreement, nor any failure to exercise any right or remedy consequent upon a breach of any covenant, term, or condition of this Agreement, shall constitute a waiver of any such breach of such covenant, term or condition. No waiver of any breach shall affect or alter this Agreement, and each and every covenant, condition, and term hereof shall continue in full force and effect without respect to any existing or subsequent breach.

**9.15 Severability.** The unenforceability, invalidity, or illegality of any provision of this Agreement shall not render any other provision of this Agreement unenforceable, invalid, or illegal.

**9.16 Municipal Powers.** Nothing contained in this Agreement shall be construed as a limitation upon the powers of the City as a chartered city of the State of California.

**9.17 Drafting Ambiguities.** The Parties agree that they are aware that they have the right to be advised by counsel with respect to the negotiations, terms and conditions of this Agreement, and the decision of whether or not to seek advice of counsel with respect to this Agreement is a decision which is the sole responsibility of each Party. This Agreement shall not be construed in favor of or against either Party by reason of the extent to which each Party participated in the drafting of the Agreement.

**9.18 Conflicts Between Terms.** If an apparent conflict or inconsistency exists between the main body of this Agreement and the Exhibits, the main body of this Agreement shall control. If a conflict exists between an applicable federal, state, or local law, rule, regulation, order, or code and this Agreement, the law, rule, regulation, order, or code shall control. Varying degrees of stringency among the main body of this Agreement, the Exhibits, and laws, rules, regulations, orders, or codes are not deemed conflicts, and the most stringent requirement shall control. Each Party shall notify the other immediately upon the identification of any apparent conflict or inconsistency concerning this Agreement.

**9.19 Design Professional Evaluation.** City will evaluate Design Professional's performance of Professional Services on the Project using the Consultant Evaluation Form [Exhibit F].

**9.20 Exhibits Incorporated.** All Exhibits referenced in this Agreement are incorporated into the Agreement by this reference.

**9.21 Survival of Obligations.** All representations, indemnifications, warranties and guarantees made in, required by or given in accordance with this Agreement, as well as all continuing obligations indicated in this Agreement, shall survive, completion and acceptance of the Professional Services and termination or completion of the Agreement.

**9.22 Contractor Standards.** This Agreement is subject to the Contractor Standards clause of the Municipal Code Chapter 2, Article 2, Division 30 adopted by Ordinance No. O-20316. All consultants are required to complete the Contractor Standards Pledge of Compliance included herein as Exhibit G.

**9.23 Equal Benefits Ordinance.** Unless an exception applies, Design Professional shall comply with the Equal Benefits Ordinance (EBO) codified in the San Diego Municipal Code (§22.4304(f)). Failure to maintain equal benefits is a material breach of this Agreement. By signing this Agreement, Design Professional certifies that Design Professional is aware of, and will comply with, this City-mandated clause throughout the duration of the Agreement.

**9.24 Public Records.** By Signing this Agreement the Design Professional agrees that it is aware that the contents of this Agreement and any documents pertaining to the performance of the Agreement requirements/Scope of Services resulting from this Agreement are public records, and therefore subject to disclosure unless a specific exemption in the California Public Records Act applies.

If the Design Professional submits information **clearly marked** confidential or proprietary, the City of San Diego (City) may protect such information and treat it with confidentiality only to the extent permitted by law. However, it will be the **responsibility of the Design Professional** to provide to the City the specific legal grounds on which the City can rely in withholding information requested under the California Public Records Act, should the City choose to withhold such information.

General references to sections of the California Public Records Act will not suffice. Rather, the Design Professional must provide a **specific and detailed legal basis, including applicable case law that clearly establishes** the requested information is exempt from the disclosure requirements of the California Public Records Act.

If the Design Professional does not provide a specific and detailed legal basis for withholding the requested information within a time specified by the City, the City will release the information as required by the California Public Records Act and the **Design Professional will hold the City harmless** for release of this information.

It will be the **Design Professional's obligation to defend**, at Design Professional's expense, any legal actions or challenges seeking to obtain from the City any information requested under the California Public Records Act withheld by the City at the Design Professional's request. Furthermore, the Design Professional shall **indemnify** the City and **hold it harmless** for any claim or liability, and **defend any action** brought against the City, resulting from the City's refusal to release information requested under the Public Records Act withheld at Design Professional's request.

Nothing in this Agreement creates any obligation for the City to notify the Design Professional or obtain the Design Professional's approval or consent before releasing information subject to disclosure under the California Public Records Act.

**9.25 Equal Pay Ordinance.** Unless an exception applies, Design Professional shall comply with the Equal Pay Ordinance (EPO) codified in the San Diego Municipal Code (SDMC) at section 22.4801 through 22.4809. Design Professional shall require all of its

subconsultants to certify compliance with the EPO in their written subcontracts. Design Professional must post a notice informing its employees of their rights under the EPO in their workplace or job site. By signing this Agreement with the City of San Diego, Design Professional acknowledges the EPO requirements and pledges ongoing compliance with the requirements of SDMC Division 48, section 22.4801 et seq., throughout the duration of this Agreement.

*The remainder of this page has been intentionally left blank.*

**IN WITNESS WHEREOF**, this Agreement is executed by the City of San Diego, acting by and through its Mayor, pursuant to San Diego Municipal Code 22.3207 authorizing such execution, and by the Design Professional pursuant to Kimley-Horn and Associates, Inc.'s signature authority document.

I HEREBY CERTIFY I can legally bind Kimley-Horn and Associates, Inc. and that I have read all of this Agreement, this 5th day of December, 2024.

By Sam McWhorter  
Sam McWhorter  
Vice President

Dated this 5th day of December, 2024.

THE CITY OF SAN DIEGO  
Mayor or Designee

By Berric Doringo  
Berric Doringo  
Deputy Director  
Purchasing & Contracting

I HEREBY APPROVE the form of the foregoing Agreement this 16 day of December 2024

HEATHER FERBERT, City Attorney  
By [Signature]  
Name: Adam Wander  
Deputy City Attorney



**DESIGN PROFESSIONAL AGREEMENT**  
**EXHIBITS**

## SCOPE OF SERVICES

The Scope of Services defines the extent of the Design Professional and the services to complete the work and documents specified herein for Design (Plans, Specifications & Estimate), assistance during the Bid, and Construction Support of the **Cabrillo Palisades 600 Rezoning** herein referred to as “Project”.

Design shall be in accordance with the City of San Diego Water Facility Design Guidelines (2021 Edition) in addition to other City and non-City guidelines and standards. These guidelines and standards are not a substitute for good engineering.

Water mains are required by the State of California, Department of Health (DoH) to maintain a 10 ft horizontal separation from other utilities. The Design team will be required to obtain a deviation from standard from the DoH for any water mains which cannot be relocated to meet the necessary separation.

### **PROJECT DESCRIPTION**

The Project is located within the Serra Mesa, Clairemont Mesa, and Kearny Mesa Community Planning Areas (Council Districts 2, 6, and 7) which will improve pressure and reliability to the City’s water distribution system in the Cabrillo Palisades and Kearny Mesa pressure zones. The scope of work includes work on water mains, demolition of a pump station, construction of 2 new pressure regulating stations, installing and replacing several red valves, and street resurfacing and ADA curb ramps. A location map showing the proposed alignment and location of the work for this project is included in Appendix A.

The scope of work for water improvements and rezoning includes the following.

- Upsizing approximately 3,420 linear feet (0.65 miles) of 12-inch water mains to replace existing 6-inch and 8-inch asbestos cement (AC) water mains.
- Abandonment of approximately 512 linear feet (0.09 miles) of 24-inch steel cylinder rod wrapped (SCRW) transmission water mains crossing I-805 freeway.
- Construction of approximately 775 linear feet (0.15 miles) of new 16-inch water mains and approximately 215 linear feet (0.04 miles) of new 16-inch water mains encased in new 36-inch steel pipe via trenchless construction methods (jack and bore installation) or a proposed new

alignment after alignment study.

- Water improvements will include all associated water services, fire hydrants, valves, and other appurtenances.
- Install four (4) new red valves and replace five (5) red valves (see Appendix B, Table 1 for the location and existing FID for each valve).
- Installation of two new Pressure Regulating Stations (PRS) at the following locations (see Appendix B, Table 2). The exact location will be determined during design.
  - Daley Center Drive between Aero Drive and Granite Ridge Drive.
  - Marathon Drive between Angwin Place and Fermi Avenue.
- The project will also replumb 1 water service at 3760 Convoy St, San Diego, CA 92111.
- Demolition of the existing Cabrillo Palisades Pump Station at the corner of Health Center Dr and Mesa College Dr.
  - Demolition of Cabrillo Palisades Pump Station includes demolishing all connecting pumps, piping, valves, electrical control panel and building. The concrete slab does not need to be demolished at this time.
- Construct approximately 0.27 miles (57,000 square feet) of AC Overlay (see Appendix A) at the following locations:
  - Pinecrest Ave between Murray Ridge Rd and Greyling Dr
  - Greyling Dr between Pinecrest Ave and Larkdale Ave
- Construction of 69 curb ramps per Appendix C.

### **600 Rezoning scope of work**

This project recommends demolishing the Cabrillo Palisades Pump Station along with rezoning and expanding the Kearny Mesa (600) Zone and rezoning the Stonecrest area from 559 to 450.

## EXHIBIT A

The two areas that are part of the Northwest Mesa (559) Zone that have low pressure and require rezoning are the Sharp/Rady Children's Hospital Complex north of the Birdland neighborhood, and north parts of Serra Mesa up to Montgomery Field. These two areas have high elevation with service pressure below 65 psi, which does not meet the Design Guidelines requirement of static pressure above 65 psi. Also, these areas are mixed with residential, commercial, and industrial zoning that fail to have adequate fire flow with the existing facilities. These areas will be combined with the Kearny Mesa (600) Zone to improve the pressure and fire flow. Expanding the Kearny Mesa (600) Zone to include the hospital complexes, Serra Mesa and Montgomery Field requires nine new red valves and one new pressure regulating station. The Cabrillo Palisades (635) Zone north of the hospital complexes at similar elevation to the surrounding 600-zoned areas and will be absorbed into the Kearny Mesa (600) Zone.

The Stonecrest area is part of the Northwest Mesa (559) Zone and has high pressure and is recommended to be rezoned to a new Stonecrest (450) Zone which will require one new pressure regulating station.

Due to multiple deficiencies, the Cabrillo Palisades Pump Station will be demolished. The pump station's total rated capacity is only 500 gpm, while the existing Cabrillo Palisades Zone required fire flow is 4,000 gpm. Since the Cabrillo Palisades (635) Zone is currently operating at 600 HGL using the deficient Cabrillo Palisades Pump Station, it will be more cost effective and energy efficient to demolish the pump station and combine the Cabrillo Palisades (635) Zone into an expanded Kearny Mesa (600) Zone. Please see Appendix D for the 10% design from PUD.

## **SCOPE OF SERVICES**

Kimley-Horn will provide the services specifically set forth below and per Scope of Services provided in the RFP. released on October 25, 2023.

### **TASK 1. – PROJECT MANAGEMENT**

#### **Task 1.1. Project Management Support**

The Kimley-Horn team will provide management and technical support to the City for the work, budget, and timeline to progress according to the agreed upon scope of services outlined below.

Kimley-Horn will maintain communications between the City's Project Manager and design team. Project management shall include Design, Bid/Award, and Construction Phase support.

#### **Deliverables/Assumptions:**

- *Project Management Support (Ongoing PM communication support, telephone conversations, over 5 years up to 0.25 hours a week)*

#### **Task 1.2. Project Schedule Maintenance**

Kimley-Horn will prepare a schedule which will include milestones for the Preliminary Design Report/Technical Memorandum (TM/30%), 60%, 100%, and Final design. We will provide monthly progress reports ensuring all project team action items are addressed in coordination with the City's Project Manager. Monthly progress reports will include, budget information, issues encountered, out-of- scope items and status, action items, and percentage of task completion.

#### **Deliverables/Assumptions:**

- *Monthly Updates of Design Professional Schedule (PDF)*
- *Monthly invoicing with brief summary of work*
- *Design Project Schedule Maintenance (32 months, monthly schedule updates for up to 1 hour per month)*

#### **Task 1.3 Design Review Meetings**

Kimley-Horn will coordinate team meetings with the City's Project Manager and representatives, Engineering & Capital Projects (E&CP), Public Utilities, subconsultants, and permitting agencies necessary for the completion of design plans, specifications, and related services as specified in the Scope; Kimley-Horn will document meetings through meeting minutes and include action items, responsible party or person, and expected finish per item. Kimley-Horn will attend project-related Meetings including:

- Design kick-off meeting
- Coordination meetings, including preparation of meeting agendas prior to the meetings and meeting notes documenting key points and distributed no later than a week after the meeting.
- Design Review meetings after Technical Memorandum (30%), 60% and 100% design.

**Deliverables/Assumptions:**

- *Status Updates: Schedule, Action Steps, Current Work Tasks (PDF)*
- *Meeting Agendas (PDF)*
- *Draft Meeting Agenda and Minutes (PDF)*
- *Final Meeting Agenda and Minutes (PDF)*
- *Monthly Progress Memos (PDF)*

**Task 1.4 Design Project Meetings**

Kimley-Horn will coordinate the design with the following projects that are adjacent to this project:

- Slurry Seal Group 2422
- Slurry Seal Group 2324
- Slurry Seal Group 2221
- AC Water & Sewer Group 1049 (W)

**Deliverables/Assumptions:**

- *Design project meetings up to twice a month over 38 months of the design, including allowance for a bid phase and up to an additional 1 hour of administration and design coordination with existing City projects.*
- *Design coordination with group 2422, 2324, 2221 and 1049 City Projects*

**TASK 2 – PRE-DESIGN**

Kimley-Horn will attend a project kick-off meeting after the Notice to Proceed is released, which will be held between Design Professional and the City to review and document project goals, objectives, and action steps to be taken. Given the multi-disciplinary nature of the work, the City may also include other City departments or divisions in the project process. The design shall be in accordance with current applicable laws, regulations and codes, and professional standards of practice established by the City.

**Deliverables/Assumptions:**

- *Project Kick-off Meeting – included in Task 1.1.2*

### **Task 2.1 Subsurface Utility Exploration (Potholing)**

The Kimley-Horn Team will obtain all permits required for subsurface utility exploration. The Design Professional will perform utility locating by potholing to provide visible points for each location. This task shall include contact with USA Dig Alert for local utility information, follow-up with the identified utilities, and mapping before finalizing the pothole locations. The purpose of the potholing is to locate the depth of the water piping and conflicting utilities that may impact the project. The findings of this research shall be presented in a Utility Exploration Report. Pavement thickness will be provided. This task will include coordination with City Lab to evaluate R Values and shall follow the City's standards for pothole/surface restoration.

#### **Deliverables/Assumptions:**

- *Utility Exploration Report (PDF)*
- *Utility As-built Plans (PDF)*
- *Subsurface Utility Exploration (Up to 20 potholes)*

### **Task 2.2 Site Topography and Base Mapping**

The Kimley-Horn Team will prepare topographic mapping for the site. Using record maps, public and private utility drawings, and the preliminary City survey files (if available). Kimley-Horn will verify site conditions and create a digital base map to be used for all plans, reports, and exhibits in accordance with the Citywide Auto CAD Standards.

Draft survey Auto CAD files will be submitted to the E&CP Surveying Engineers for review and acceptance. Files will be in accordance with the most updated Citywide CAD Standards. Comments will be addressed following the E&CP surveying review and a final survey CAD file will be submitted.

#### **Deliverables/Assumptions:**

- *Draft Survey Auto CAD files submitted to E&CP surveying (survey deliverables per RFP checklist)*
- *Approved Survey Auto CAD files submitted to E&CP surveying.*
- *Open Roads Designer drafting files*
- *As built review summary*
- *Dry utilities information processing*
- *Field verification of as build and surveyor information.*
- *ROW acquisition*

### **Task 2.3 Geotechnical and Environmental Investigation, Testing, and Reporting**

The Kimley-Horn Team will conduct a geotechnical and environmental investigation to provide subsurface data to support project design and construction. The geotechnical field investigation will be performed concurrently with the ecological field investigation to collect data from the same boring locations. The Kimley-Horn Team will obtain all permits required for geotechnical exploration and potholing work. The Geotechnical Report shall be in accordance with the City of San Diego's 'Guidelines for Geotechnical Reports. The Kimley-Horn Team will analyze the data gathered following the field investigation and laboratory testing to provide geotechnical and seismic recommendations for the proposed improvements. The results of the geotechnical and geologic analyses will be summarized in a report.

#### **Deliverables/Assumptions:**

- *Geotechnical result review*
- *Draft Geotechnical Baseline Report - Tunneling alignment (PDF)*
- *Approved Geotechnical Baseline Report - tunneling alignment (PDF)*
- *Pothole exhibit preparation*
- *Pothole result incorporation in the surveyor's maps*

### **Task 2.4 Preliminary Design Report (PDR)**

The Kimley-Horn team will prepare a preliminary design report to analyze and compare options and alternatives and recommend the optimal choices for further design. The level of design at this stage of preliminary design may be estimated at 10%.

#### **Deliverables/Assumptions:**

- *Preliminary Design Report (PDR) - Technical Memorandum evaluating up to 4 trenchless Hwy 805 crossing alternatives, up to 2 PRS locations, and up to 2 potable water alignment alternatives, and recommendations of the preferred alternative. Evaluation of applicable trenchless alternatives will be conducted.*

### **TASK 3 – DESIGN SERVICES**

Upon complete submission and approval of pre-design deliverables, Kimley-Horn will proceed with design development. PDFs will be provided of the listed deliverables below. Native files will be provided at the request of the City.

#### **Task 3.1 - 30% Design Submittal and Review**

Kimley-Horn Team shall develop a 30% Design of the project for the City's formal review and comment at the preliminary design level. The 30% submittal will include defining City standards, City and



regulatory agency requirements for design, and design calculations required for the project. It shall also include city review comments on PDR submittal and 30% checklist requirements as shown in Appendix F of the RFP.

Kimley-Horn will prepare and submit a detailed 30% design package for the City's formal review and comments. Kimley-Horn will provide an internal quality control review of all deliverables per the project- specific Quality Management Plan to ensure they meet the requirements of the agreed-upon scope of services.

**Deliverables/Assumptions:**

- *Draft Survey Auto CAD files submitted to E&CP surveying (survey deliverables per RFP checklist)*
- *Approved Survey Auto CAD files submitted to E&CP surveying.*
- *All reviewed calculations completed to date.*
- *Geotechnical investigation report (PDF)*
- *30% design drawings in full-size and half-size (PDF)*
- *30% specifications with table of contents (PDF)*
- *30% design calculations (PDF)*
- *30% engineering estimated opinion of probable cost estimate (PDF)*
  
- *30% design review checklist (PDF)*
- *30% CADD Files*
- *Private Easement Acquisition Package for tunneling alignment and PRS locations.*
  - *Deeds / Title Reports*
  - *Legal Descriptions*
  - *As-Builts*
  - *Assessor's Plats (labeled with Assessor Number, Address, and Property Owner's Name)*
- *Complete 30% of the design and comments, edits, and responses to the PDR report review integrate trenchless crossing design elements as specified above in the RFP scope.*

**Task 3.2 - 60% Design Submittal and Review**

The Kimley-Horn Team will prepare and submit detailed 60% design package for City's formal review and comment. The 60% design package will incorporate the City's comments from the 30% Submittal as well as community comments.

Kimley-Horn will provide an internal quality control review of all deliverables per the project-specific Quality Management Plan to ensure they meet the requirements of the agreed-upon scope of services.

**Deliverables/Assumptions:**

- *Comments from all 30% of design submittal reviews incorporated.*
- *All calculations completed and reviewed.*
- *Preliminary Title 24 forms if applicable (PDF)*
- *A submitted stormwater review if applicable (PDF)*
- *60% design drawings with a complete list of construction contract drawings in full-size and half- size (PDF), 60% specifications (PDF)*
- *60% design calculations (PDF)*
- *60% engineering estimated opinion of probable cost estimate (PDF)*
- *60% design review checklist (PDF)*
- *ADA curb ramps to be included in the design.*
- *Completed DS-560 Storm Water Requirements Applicability Checklist (PDF)*
- *Water Pollution Control Plan if applicable (WPCP) (PDF)*
- *60% CADD Files*
- *Complete 60% of the design, integrate all 30% of design comments, and include ADA, trenchless crossing design elements as specified above in the RFP scope.*

**Task 3.3 - 100% Design Submittal and Review**

The Kimley-Horn Team will prepare and submit detailed 100% design package for City's formal review and comment. The 100% design package will incorporate the City's comments from the 60% design.

Kimley-Horn will provide an internal quality control review of all deliverables per the project-specific Quality Management Plan to ensure they meet the requirements of the agreed-upon scope of services.

**Deliverables/Assumptions:**

- *Comments from all 60% design submittal reviews incorporated.*
- *All calculations completed (PDF)*
- *An approved 60% City wide plan check*
- *Signed Title 24 forms (original signed forms, PDF) if applicable.*
- *An approved storm water review if applicable (PDF)*
- *100% design drawings complete for all disciplines in full-size and half-size (PDF)*
- *100% specifications (PDF)*
- *100% engineering estimated opinion of probable cost estimate (PDF)*
- *100% design review checklist (PDF)*
- *An approved plan check set of plans.*
- *Submittal master and tracking list (PDF)*

- *100% CADD Files*
- *Complete 100% of the design, integrate all 60% of design comments, and include ADA, trenchless crossing design elements as specified above in the RFP scope.*

#### **Task 3.4 Final Design Submittal and Review**

The Kimley-Horn Team will prepare and submit a final design package for bidding. The design package will incorporate the City's 100% design comments.

#### **Deliverables/Assumptions:**

- *Signed full sized and half sized drawings (PDF)*
- *Final Design CADD files (.DGN)*
- *Final project estimated opinion of probable cost estimate, include escalation for market conditions, equipment escalation for inflation (PDF), and one version in City's cost estimating format (excel)*
- *Internal quality control review of all deliverables to ensure requirements of the agreed upon scope of services have been met.*
- *Complete the Final design, including all 100% of the design review comments, and integrate ADA and trenchless crossing design elements as specified above in the RFP scope of the worksheet.*

#### **Task 3.5 Permitting Support**

The Kimley-Horn Team will provide support as needed for coordination with various entities for the completion of required permits.

#### **Deliverables/Assumptions:**

- *If applicable, Kimley-Horn will provide support to coordinate with various entities to complete the required permits.*

#### **Task 3.6 Traffic Control**

The Kimley-Horn Team will provide traffic control plans and striping plans for locations identified in the reports. Tasks include:

- *Assembling existing traffic volume data for the pipeline routes.*
- *Meeting with the City of San Diego and/or Caltrans to discuss Traffic Control requirements.*
- *Preparing Traffic Control Plans for the agreed-upon pipeline alignment. A total of 25 Traffic Control Plan Sheets are proposed including title sheet, detail sheet and notes.*
- *Preparing Cost Estimates and Special Provisions for Traffic Control and Traffic Improvements.*

- Providing services through the design phase and shut down phasing of construction.

**Deliverables/Assumptions:**

- *Kimley-Horn will provide traffic control deliverables as specified in the RFP scope of work unless directed by the city to assume this scope will be delivered by the contractor. The traffic control scope will be finalized after discussions with all stakeholders, including the City and Caltrans.*

**Task 3.7 Electrical Services for PDR, 30%, 60%, 100% and Final Design**

Kimley-Horn will prepare electrical and instrumentation drawings that will include electrical general notes, legends and abbreviations, electrical site plan showing the utility service, conduit routing and equipment layout, electrical details including single line diagram, load calculations, conduit trench details, panel schedules and P&ID. Details for the RTU cabinet and power equipment will be included.

**Deliverables/Assumptions:**

*Kimley-Horn will provide construction drawings and coordinate power service requirements with SDG&E. It is assumed that the Physical Radio Path survey will be provided.*

*Exclusions:*

- *Radio Path Survey*
- *Operations and Maintenance Manual*
- *Electrical, SCADA and telemetry design for Marathon Dr PRS*

**TASK 4 – PUBLIC RELATIONS**

The Kimley-Horn Team will provide coordination with the community, private property owners, and business groups. Other community groups may be identified during the design process that will require coordination by Kimley-Horn. Kimley-Horn shall have a Public Information Officer (PIO) dedicated to the project from design commencement to the start of construction. If required, the PIO shall centralize all information on a website that will be updated continuously to provide the community with the latest information on the progress of the project. Kimley-Horn will attend all community presentations with the PIO and City Project Manager. The PIO will provide all meeting documents, presentations, handouts, and collateral. The PIO will work closely with the City’s Project Manager to schedule meetings, appointments, and presentations.

The PIO will coordinate all draft written responses to the community with City staff prior to sending formal responses. The PIO will be responsible for handling all project-related inquiries and will coordinate with the City as needed to provide the most accurate response. The PIO shall

prepare City approved hand-outs and displays for the community presentations as needed. The PIO shall document all community coordination and correspondence and keep the City's Project Manager abreast of community concerns.

#### **Task 4.1 Public Outreach Coordination**

An outreach kick-off meeting will be held to ensure a common understanding of outreach needs and goals. Kimley-Horn shall then work with City staff and the PIO to develop a draft key stakeholders list for City review and approval.

#### **Deliverables/Assumptions:**

- *Community Outreach Plan*
  - A Community Outreach Plan shall begin once the key stakeholders list has been approved by the City. The Community Outreach Plan shall include a timeline for community relations implementation, showing the following types of outreach milestones.
  - Due dates for draft collateral deliverables, along with completion deadlines for City reviews and due dates for revised / final collateral deliverables.
  - Dates public notices must be distributed, and signage posted.
  - Timing of web page updates and any other online and print press releases.
  - Timing of public meetings and associated preparation activities, including provision for Basis of Design Report, 30%, 60%, and 100% Design meetings with community planning groups, boards, committees, and other key project stakeholders.
  - The Community Outreach Plan shall clearly identify roles and responsibilities for outreach implementation, as well as points of contact and lines of communication. The Community Outreach Plan shall focus on outreach to planning groups, the community, businesses, and other key stakeholders along the Project alignment. The key stakeholders list with contact information shall be included as an appendix to the Community Outreach Plan.
- *30%, 60%, and 90% Design Presentation Letter*
  - The City anticipates 2 rounds of community meetings at Basis of Design, 30% Design, or 60% Design and again at 90% Design. The PIO will mail a letter describing the project scope, schedule, budget, benefits, and a summary of the anticipated impact. The letter will ask the community planning groups, boards, committees and other key project stakeholders asking them if they would like a project presentation.
- *30% Design, or 60% Design Presentation (assume 5)*

- Public presentations shall be made to introduce the project to the public. Feedback from public presentations shall be incorporated into the final conceptual layout. The public presentations shall be an opportunity for the project team to learn and understand the public's issues concerning the project. Based on the input from the stakeholders received at the presentations and comments from the community, the team shall develop the final Basis of Design to be included in the Basis of Design Report. The Kimley-Horn Team will be responsible for all presentation collateral including fact sheets and displays.
- *Follow-up Letter*
  - The PIO will mail a follow-up letter to the community planning groups, boards, committees, and other Project stakeholders asking them if they would like an additional presentation at 100% Design.
- *Letter to the Community*
  - During design, a minimum of one letter describing the project scope, schedule, budget, benefits, and a summary of the anticipated impacts is to be mailed to all property owners and residents within 300 feet of the project. The stage of design and number of mailings will be determined in the Community Outreach Plan.
- *90% Design Presentation (assume 5 meetings)*
  - Public Presentations shall be made to close the loop with the stakeholders and show how their concerns were incorporated into the final design. The public presentations shall also be a final opportunity for the public to voice any issues or concerns. The PIO will be responsible for all presentation collateral including fact sheets and displays.
- *Outreach Documentation*
  - The PIO shall document all community coordination and correspondence. The PIO shall keep a log of community meeting stakeholders, dates and times, community questions, and answers that were provided. The PIO shall document when a stakeholder declines a meeting or presentation. The PIO shall also keep a log of all correspondence including letters, e-mails, and phone correspondence. The PIO shall track the correspondence by stakeholder and keep record of mailings that are returned. The log shall be provided to the City's Project Manager monthly, or as needed.

Services to be provided through the start of construction.

### **TASK 5 – BID AND AWARD SUPPORT**

Upon complete submission and approval of design development deliverables, the Kimley-Horn team will support City staff during the bid and award phase.

**Task 5.1 Meetings**

Kimley-Horn will attend project related meetings including, the pre-bid conference, site visits and required meetings on-site and off-site.

**Deliverables/Assumptions:**

- *Kimley-Horn will provide bid support as specified in the RFP scope of work. Project meetings are included in the Task 1.1.2.*

**Task 5.2 Request for Information (RFI) and Clarification Responses**

Kimley-Horn will prepare responses to RFIs, or clarifications as requested by City staff.

**TASK 6 – CONSTRUCTION & POST CONSTRUCTION SERVICES**

After the construction contract is awarded and executed, Kimley-Horn will support construction administration by providing a complete set of baseline information, analysis, and data to inform, develop, and evaluate the guiding principles, criteria, network concepts, decisions and recommendations required to complete the scope intent to City staff and Contractor. This task includes response to RFI's, review of submittals, attendance at progress meeting and responses to as-needed construction services and as- needed site meetings.

**Task 6.1 Construction Management Meetings**

Kimley-Horn will attend pre-construction and monthly progress meetings as requested by City staff. The City's construction manager is assumed to provide meeting agendas and minutes.

**Deliverables/Assumptions:**

- *Kimley-Horn will provide engineering support during the construction deliverables as specified in the RFP scope of work. Construction duration is estimated 16 months assuming the concurrent implementation of project elements. The construction schedule will be adjusted at the time of project award based on the winning contractors' bid. Kimley-Horn, surveyor subconsultant, will provide construction staking services as well.*

**Task 6.2 Permit Support**

Kimley-Horn will be available to support the City and Contractor with agency permit requirements.

**Task 6.3 Submittals**

Kimley-Horn will review Contractor submittals in a timely fashion concerning Submittal Master and Tracking List and respond within 10 working days.

**Task 6.4 Change Orders**

This task includes review of Contractor Change Orders due to unforeseen conditions that may have arisen during the course of the project. Kimley-Horn will:

- Review and evaluate submission to scope intent, and
- Provide revision sketches, direction and/or specifications as required and sufficient for Contractor to adequately price the work through the Construction Manager RFP.

**Task 6.5 Request for Information**

Kimley-Horn will provide responses to all RFIs, and RFCs related to the interpretation of contract documents, unforeseen conditions, value engineering proposals, and changes in scope, and will return RFIs to the Construction Manager within 5 working days.

**Task 6.6 Surveying During the Construction**

The Kimley-Horn Team shall provide site layout and general grade checking work and will notify the City in writing at least 2 working days prior to requesting survey services provided by the City.

**Survey Services Provided by City**

Monument Perpetuation, including mark-outs, will be performed by the City Public Works Engineering Support & Technical Services Division's (ES&TS), Land Survey Section (LSS), unless otherwise noted. Coordination of these services will be the duty of the Design Firm or Contractor(s). If at any time a monument is destroyed or covered, such monument must be perpetuated in accordance with state law. Inform the LSS, via project Resident Engineer, if any monument will be destroyed or covered during any construction activity.

The following surveying services (including construction staking), as defined in Cal. Bus. & Prof. Code

§8726, shall be provided the subconsultant surveyor:

- Locate or establish alignment or elevations of all features or structures shown on project plans.
- Locate or establish geodetic control points for site feature or structure locations.
- Produce topographic as built data.
- Locate, establish or reestablish any monument, property line, right-of-way line, or easement line.
- Verify structure finish grade elevations.



**Deliverables/Assumptions:**

- *All required survey work, including construction staking, will be procured by the Kimley-Horn team.*
- *The City's Land Survey Section will only perform Monument Preservation, and the City's survey group will need to be involved in the pre-construction meeting.*

**Task 6.7 As-Builts**

Kimley-Horn will draft construction redline markup into the final as-built plan set and will include construction changes into final set. Files will be provided in PDF and CADD format.

**Deliverables/Assumptions:**

- *As-Builts Files (PDF, CADD files)*

**INFORMATION PROVIDED BY THE CLIENT**

We shall be entitled to rely on the completeness and accuracy of all information provided by the City or the City's consultants or representatives. The City shall provide all information requested by Kimley-Horn during the project, including but not limited to the following:

- Previously approved documents, as-built plans for the project site and/or studies (if available)
- CADD files for topographic survey, right-of-way, proposed improvements, existing utility base map and proposed utility base map
- As-built plans (upon request)

# COMPENSATION AND FEE SCHEDULE

## FEE

Kimley-Horn will complete for the total fee shown below. All permitting, application, and similar project fees will be paid directly by the Client, unless otherwise in noted.

Task	Description	Amount
<b>Kimley-Horn Fee</b>		
1.1	Project Management	\$107,641.00
1.2	Pre-Design	\$113,822.00
1.3	Design Services including electrical	\$603,759.00
1.4	Public Relations	\$11,868.00
1.5	Bid and Award Services	\$18,108.00
1.6	Engineering Services During Construction	\$218,019.00
<b>Subtotal Kimley-Horn Fee</b>		<b>\$1,073,217.00</b>

<b>Subconsultant Fees</b>		
	AirX - Potholing	\$77,265.00
	City Works – Public Outreach	\$64,944.00
	PdM – ADA Ramps	\$113,120.00
	San Dieguito Survey	\$154,618.00
	Blue Lake Civil	\$398,339.00
	Bender Rosenthal – Right of Way	\$44,000.00
	NOVA - Geotechnical	\$60,134.00
<b>Subtotal Subconsultant Fees</b>		<b>\$912,420.00</b>

<b>Total Fees without additional services</b>	<b>\$1,985,637.00</b>
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Additional Services amount: \$200,000.00

Total + Additional Services: \$2,185,637.00



# **TIME SCHEDULE**

**Design Stage** – 32 months (From Agreement NTP)

**Bid & Award Stage** – 8 Months (From End of Design Stage)

**Construction Stage** – 16 months (From End of Bid & Award Stage)

**Post Construction Stage**- 4 months (From End of construction NOC)

- As-builts

NOTE: All work must be completed by the Agreement's expiration date stated in Section 2.1.

E QUAL OPPORTUNITY CONTRACTING PROGRAM (EOCP)

CONSULTANT REQUIREMENTS

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I. **City’s Equal Opportunity Commitment.** The City of San Diego (City) is strongly committed to equal opportunity for employees and Subcontractors of Consultants doing business with the City. The City encourages its Consultants to share this commitment. Consultants are encouraged to take positive steps to diversify and expand their Subcontractor solicitation base and to offer consulting opportunities to all eligible Subcontractors. Consultants are encouraged to take positive steps to diversify and expand their subcontractor and supplier solicitation base and to offer opportunities to all eligible business firms.

*Failure to submit the required EOCP documentation indicated below shall result in a determination of the Consultant being non-responsive.*

II. **Nondiscrimination in Contracting Ordinance.** All Consultants doing business with the City, and their Subcontractors, must comply with requirements of the City’s *Nondiscrimination in Contracting Ordinance*, San Diego Municipal Code Sections 22.3501 through 22.3517.

A. **Disclosure of Discrimination Complaints (Attachment AA).** As part of its bid or proposal, Consultant shall provide to the City a list of all instances within the past ten (10) years where a complaint was filed or pending against Consultant in a legal or administrative proceeding alleging that Consultant discriminated against its employees, Subcontractors, vendors, or suppliers, and a description of the status or resolution of that complaint, including any remedial action taken.

B. **Contract Language.** The following language shall be included in contracts for City projects between the Consultant and any Subcontractors, vendors, and suppliers:

Contractor shall not discriminate on the basis of race, gender, gender expression, gender identity, religion, national origin, ethnicity, sexual orientation, age, or disability in the solicitation, selection, hiring, or treatment

of subcontractors, vendors, or suppliers. Consultant shall provide equal opportunity for Subcontractors to participate in opportunities. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

- C. Contract Disclosure Requirements. Upon the City's request, Consultant agrees to provide to the City, within sixty (60) calendar days, a truthful and complete list of the names of all Subcontractors, vendors, and suppliers that Consultant has used in the past five (5) years on any of its contracts that were undertaken within County of San Diego, including the total dollar amount paid by Consultant for each subcontract or supply contract. Consultant further agrees to fully cooperate in any investigation conducted by the City pursuant to the City's Nondiscrimination in Contracting Ordinance, Municipal Code Sections 22.3501 through 22.3517. Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in remedies being ordered against the Consultant up to and including contract termination, debarment and other sanctions.

**III. Equal Employment Opportunity Outreach Program.** Consultants shall comply with requirements of San Diego Municipal Code Sections 22.2701 through 22.2707. Consultants shall submit with their proposal a Work Force Report for approval by the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP).

- A. Nondiscrimination in Employment. Consultant shall not discriminate against any employee or applicant for employment on any basis prohibited by law. Contractor shall provide equal opportunity in all employment practices. Consultants shall ensure that their subcontractors comply with this program. Nothing in this Section shall be interpreted to hold a Consultant liable for any discriminatory practice of its subcontractors.
- B. Work Force Report. If based on a review of the Work Force Report (Attachment BB) submitted an EOCP staff Work Force Analysis determines there are under representations when compared to County Labor Force Availability data, then the Consultant will also be required to submit an Equal Employment Opportunity (EEO) Plan to the Program Manager of the City of San Diego Equal Opportunity Contracting Program (EOCP) for approval.
- C. Equal Employment Opportunity Plan. If an Equal Employment Opportunity Plan is required, the Program Manager of EOCP will provide a list of plan requirements to Consultant.

**IV. Small and Local Business Program Requirements.** The City has adopted a Small and Local Business Enterprise (SLBE) program for consultant contracts. SLBE program requirements for consultant contracts are set forth in San Diego Municipal Code Division 36.

- A. SLBE and ELBE Participation for Contracts Valued Over \$500,000.
1. It is the City's policy to encourage greater availability, capacity development, and contract participation by SLBE and ELBE firms in City contracts. This policy is, in part, intended to further the City's compelling interest to stimulate economic development through the support and empowerment of the local community, ensure that it is neither an active nor passive participant in marketplace discrimination, and promote equal opportunity for all segments of the contracting community.
  2. Proposers are required to meet the mandatory subcontracting participation percentages identified in the RFP or meet Good Faith Effort (GFE) submittal requirements. GFE submittal requirements can be found here:

<https://www.sandiego.gov/sites/default/files/legacy/eoc/pdf/slbegfeinst.pdf>.

- a) Failure to meet the mandatory goal or GFE submittal requirements shall render Proposal to be rejected as non-responsive and ineligible for further consideration.

3. The current list of certified SLBE-ELBE firms can be found here:

<http://www.sandiego.gov/eoc/programs/slbe.shtml>

**B. Subcontractor Participation.**

1. For the purpose of satisfying subcontracting participation requirements, only 1st tier SLBE-ELBE Subcontractors will be recognized as participants in the Contract according to the following criteria:
  - a) For credit to be allowed toward a respective participation level, all listed SLBE-ELBE firms shall have been certified by the Proposal due date.
  - b) The Subcontractor shall perform a commercially useful function for credit to be allowed toward subcontractor participation levels. The Subcontractor shall be required by you to be responsible for the execution of a distinct element of the Work and shall carry out its responsibility by actually performing and supervising its own workforce.

C. Subcontractor Participation List. The Subcontractor Participation List (Attachment CC) shall indicate the Name and Address, Scope of Services, Percent of Total Proposed Contract Amount, Certification Status and Where Certified for each proposed Subcontractor/Subconsultant.

D. List of Work Made Available. The Proposer shall take the steps listed in the Good Faith Effort (GFE) submittal requirements to assure that SLBE-ELBEs are used whenever possible. In addition to the specified GFE documentation, the Proposer shall submit List of Work Made Available (Attachment DD Form AA60).

**V. Maintaining Participation Levels.**

- A. Consultants are required to achieve and maintain the SLBE or ELBE participation levels throughout the duration of the consultant contract.
- B. If the City modifies the original specifications, the Consultant shall make reasonable efforts to maintain the SLBE or ELBE participation for which the bid discount or additional points were awarded. The City must approve in writing the reduction in SLBE or ELBE participation levels.
- C. The Consultant shall notify and obtain written approval from the City in advance of any reduction in subcontract scope, termination, or substitution for a designated SLBE or ELBE subcontractor. Evidence of fraud or discrimination in the substitution of Subcontractors will result in sanctions including assessment of penalty fines, termination of Contract, or debarment.
- D. Consultant's failure to maintain SLBE or ELBE participation levels as specified in the consultant contract shall constitute a default and grounds for debarment under Chapter 2, Article 2, Division 8, of the San Diego Municipal Code.

- E. The remedies available to the City under San Diego Municipal Code Division 36 are cumulative to all other rights and remedies available to the City.

## VI. Subcontracting Efforts Review and Evaluation.

1. Documentation of your subcontracting efforts will be reviewed by EOCP to verify that you made subcontracting opportunities available to a broad base of qualified Subcontractors, negotiated in good faith with interested Subcontractors, and did not reject any bid for unlawful discriminatory reasons. The EOCP review is based on the federal “Six Good Faith Efforts” model.
2. The GFEs are required methods to ensure that all ELBE and SLBE firms have had the opportunity to compete for the City’s consultant procurements. The Six Good Faith Efforts, also known as affirmative steps, attract and utilize ELBE and SLBE firms:
  - a) Ensure ELBE firms are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities.
  - b) Make information of forthcoming opportunities available to SLBE-ELBE firms and arrange time for Contracts and establish delivery schedules, where requirements permit, in a way that encourages and facilitates participation by SLBE-ELBE firms in the competitive process. This includes posting solicitations for proposals to SLBE-ELBE firms for a minimum of 10 Working Days before the Proposal due date.
  - c) Consider in the contracting process whether firms competing for large Contracts could subcontract with SLBE-ELBE firms.
  - d) Encourage contracting with a consortium of ELBE-SLBE firms when a Contract is too large for one of these firms to handle individually.
  - e) Use the services and assistance of the City’s EOC Office and the SLBE-ELBE Directory.
  - f) If you award subcontracts, require your Subcontractors to take the steps listed above.

## VII. Definitions.

**Commercially Useful Function:** a Small Local Business Enterprise or Emerging Local Business Enterprise (SLBE/ELBE) performs a commercially useful function when it is responsible for execution of the work and is carrying out its responsibilities by actually performing, managing, and supervising the work involved. To perform a commercially useful function, the SLBE/ELBE shall also be responsible, with respect to materials and supplies used on the contract, for negotiating price, determining quantity and quality, ordering the material, and installing (where applicable) and paying for the material itself.

To determine whether an SLBE/ELBE is performing a commercially useful function, an evaluation will be performed of the amount of work subcontracted, normal industry practices, whether the amount the SLBE/ELBE firm is to be paid under the contract is commensurate with the work it is actually performing and the SLBE/ELBE credit claimed for its performance of the work, and other relevant factors. Specifically, a SLBE/ELBE does not perform a commercially useful function if its role is limited to that of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of meaningful and useful SLBE/ELBE participation, when in similar transactions in which SLBE-ELBE firms do not participate, there is no such role performed.



**Good Faith Efforts (GFE):** documentation of the Proposer's intent to comply with SLBE Program goals and procedures included in the City's SLBE Program, Instructions for Completing Good Faith Effort Submittal available from the City's EOCP website or the Contract Specialist.

**Independently Owned, Managed, and Operated** Ownership of a SLBE-ELBE firm shall be direct, independent, and by individuals only. Business firms that are owned by other businesses or by the principals or owners of other businesses that cannot themselves qualify under the SLBE-ELBE eligibility requirements shall not be eligible to participate in the Program. Moreover, the day-to-day management of the SLBE-ELBE firm shall be direct and independent of the influence of any other businesses that cannot themselves qualify under the SLBE-ELBE eligibility requirements.

**Disadvantaged Business Enterprise (DBE):** a certified business that is (1) at least fifty-one (51%) owned by socially and economically Disadvantaged Individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more socially and economically Disadvantaged Individuals; and (2) whose daily business operations are managed and directed by one or more socially and economically disadvantaged owners. Disadvantaged Individuals include Black Americans, Hispanic Americans, Asian Americans, and other minorities, or individual found to be disadvantaged by the Small Business Administration pursuant to Section 8 of the Small Business Reauthorization Act.

**Disabled Veteran Business Enterprise (DVBE):** a certified business that is (1) at least fifty-one percent (51%) owned by one or more disabled veterans; and (2) business operations must be managed and controlled by one or more disabled veterans. Disabled Veteran is a veteran of the U.S. military, naval, or air service; the veteran must have a service-connected disability or at least 10% or more; and the veteran must reside in California. The firm shall be certified by the State of California's Department of General Services, Office of Small and Minority Business.

**Emerging Business Enterprise (EBE):** a business whose gross annual receipts do not exceed the amount set by the City Manager, and which meets all other criteria set forth in the regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for EBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace.

**Emerging Local Business Enterprise (ELBE):** a Local Business Enterprise that is also an Emerging Business Enterprise.

**Local Business Enterprise (LBE):** a firm having a Principal Place of Business and a Significant Employment Presence in San Diego County, California, that has been in operation for 12 consecutive months and a valid business tax certificate. This definition is subsumed within the definition of Small Local Business Enterprise.

**Minority Business Enterprise (MBE):** a certified business that is (1) at least fifty-one percent (51%) owned by one or more minority individuals, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more minority individuals; and (2) whose daily business operations are managed and directed by one or more minority owners. Minorities include the groups with the following ethnic origins: African, Asian Pacific, Asian Subcontinent, Hispanic, Native Alaskan, Native American, and Native Hawaiian.

**Other Business Enterprise (OBE):** any business which does not otherwise qualify as Minority, Woman, Disadvantaged or Disabled Veteran Business Enterprise.

**Principal Place of Business:** a location wherein a firm maintains a physical office and through which it obtains no less than fifty percent (50%) of its overall customers or sales dollars.

**Significant Employee Presence:** no less than twenty-five percent (25%) of a business's total number of employees are domiciled in San Diego County.

**Small Business Enterprise (SBE):** a business whose gross annual receipts do not exceed the amount set by the City Manager, and that meets all other criteria set forth in regulations implementing the City's Small and Local Business Preference Program. The City Manager shall review the threshold amount for SBEs on an annual basis, and adjust as necessary to reflect changes in the marketplace. A business certified as a DVBE by the State of California, and that has provided proof of such certification to the City Manager, shall be deemed to be an SBE.

**Small Local Business Enterprise (SLBE):** a Local Business Enterprise that is also a Small Business Enterprise.

**Women Business Enterprise (WBE):** a certified business that is (1) at least fifty-one percent (51%) owned by a woman or women, or, in the case of a publicly owned business at least fifty-one percent (51%) of the stock is owned by one or more women; and (2) whose daily business operations are managed and directed by one or more women owners.

**VIII. Certifications.**

The City accepts certifications of MBE, WBE, DBE or DVBE from the following certifying agencies:

Current certification by the State of California Department of Transportation (CALTRANS) as DBE, WBE or MBE.

Current certification by the California Unified Certification Program as DBE, WBE or MBE.

Current MBE or WBE certification from the California Public Utilities Commission.

DVBE certification is received from the State of California's Department of General Services, Office of Small and Minority Business.

Current certification by the City of Los Angeles as DBE, WBE or MBE.

Current certification by the U.S. Small Business Association as SDB, WOSB, SDVOSB, or Hubzone.

Subcontractors' valid proof of certification status e.g., copy of MBE, WBE, DBE, or DVBE certification must be submitted with RFP. MBE, WBE, DBE, or DVBE certifications are listed for informational purposes only.

**IX. List of Attachments.**

- AA. Disclosure of Discrimination Complaints**
- BB. Work Force Report**
- CC. Subcontractors List**
- DD. List of Work Made Available Form AA60**

The City of



EQUAL OPPORTUNITY CONTRACTING (EOC)

1200 Third Avenue, Suite 200 · San Diego, CA 92101
Phone: (619) 236-6000 · Fax: (619) 236-5904

A. WORK FORCE REPORT

The objective of the Equal Employment Opportunity Outreach Program, San Diego Municipal Code Sections 22.3501 through 22.3517, is to ensure that contractors doing business with the City, or receiving funds from the City, do not engage in unlawful discriminatory employment practices prohibited by State and Federal law.

NO OTHER FORMS WILL BE ACCEPTED
CONTRACTOR IDENTIFICATION

Type of Contractor: [ ] Construction [ ] Vendor/Supplier [ ] Financial Institution [ ] Lessee/Lessor
[ x ] Consultant [ ] Grant Recipient [ ] Insurance Company [ ] Other

Name of Company: Kimley-Horn and Associates, Inc.

ADA/DBA:

Address (Corporate Headquarters, where applicable): 421 Fayetteville Street, Suite 600

City: Raleigh County: Wake State: NC Zip: 27601

Telephone Number: 919.617.2000 Fax Number: 919.677.2050

Name of Company CEO: Steven E. Lefton, CEO, President

Address(es), phone and fax number(s) of company facilities located in San Diego County (if different from above):

Address: 401 B Street, Suite 600

City: San Diego County: San Diego State: CA Zip: 92101

Telephone Number: 619.234.9411 Fax Number: N/A Email: sam.mcwhorter@kimley-horn.com

Type of Business: Civil Engineering Design and Planning Consultant Type of License: Professional Engineer in CA

The Company has appointed: Joy Pado

As its Equal Employment Opportunity Officer (EEOO). The EEOO has been given authority to establish, disseminate and enforce equal employment and affirmative action policies of this company. The EEOO may be contacted at:

Address: 1100 W. Town and Country Rd, Suite 700, Orange, CA 92868

Telephone Number: 714.705.1353 Fax Number: N/A Email: joy.pado@kimley-horn.com

- [ x ] One San Diego County (or Most Local County) Work Force - Mandatory
[ x ] Branch Work Force \*
[ ] Managing Office Work Force

Check the box above that applies to this WFR.

\*Submit a separate Work Force Report for all participating branches. Combine WFRs if more than one branch per county.

I, the undersigned representative of Kimley-Horn and Associates, Inc.

(Firm Name)

San Diego, California hereby certify that information provided

(County)

(State)

herein is true and correct. This document was executed on this 9th day of September, 2024

Signature of Samuel L. McWhorter

Samuel L. McWhorter, P.E., Vice President

(Authorized Signature)

(Print Authorized Signature Name)

**WORK FORCE REPORT – Page 2**

NAME OF FIRM: Kimley-Horn and Associates, Inc. DATE: September 9, 2024

OFFICE(S) or BRANCH(ES): San Diego COUNTY: San Diego

1. INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black or African-American
- (2) Hispanic or Latino
- (3) Asian
- (4) American Indian or Alaska Native
- (5) Native Hawaiian or Pacific Islander
- (6) White
- (7) Other race/ethnicity; not falling into other groups

Definitions of the race and ethnicity categories can be found on Page 4

ADMINISTRATION OCCUPATIONAL CATEGORY	(1) African American		(2) Hispanic or Latino		(3) Asian		(4) American Indian/ Nat. Alaskan		(5) Pacific Islander		(6) White		(7) Other Ethnicity	
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)
Management & Financial					1						9	2		
Professional			8	7	5	11				1	47	43	5	7
A&E, Science, Computer														
Technical		1	1	1										
Sales														
Administrative Support				2	2					1		2	1	
Services														
Crafts														
Operative Workers														
Transportation														
Laborers*														

\*Construction laborers and other field employees are not to be included on this page

Totals Each Column		1	9	10	8	11				2	56	47	6	7
--------------------	--	---	---	----	---	----	--	--	--	---	----	----	---	---

Grand Total All Employees	157
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Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled:

Disabled		1		1	1						6	8	1	1
----------	--	---	--	---	---	--	--	--	--	--	---	---	---	---

Non-Profit Organizations Only:

Board of Directors														
Volunteers														
Artists														

(Authorized Signature)

(Print Authorized Signature Name)

**WORK FORCE REPORT – Page 2**

NAME OF FIRM: Kimley-Horn and Associates, Inc. DATE: September 9, 2024

OFFICE(S) or BRANCH(ES): Phoenix COUNTY: Maricopa

1. INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black or African-American
- (2) Hispanic or Latino
- (3) Asian
- (4) American Indian or Alaska Native
- (5) Native Hawaiian or Pacific Islander
- (6) White
- (7) Other race/ethnicity; not falling into other groups

Definitions of the race and ethnicity categories can be found on Page 4

ADMINISTRATION OCCUPATIONAL CATEGORY	(1) African American		(2) Hispanic or Latino		(3) Asian		(4) American Indian/ Nat. Alaskan		(5) Pacific Islander		(6) White		(7) Other Ethnicity	
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)
Management & Financial	2				3						19	3	1	
Professional	4	1	24	4	6	2	2		1	1	104	59	7	4
A&E, Science, Computer														
Technical			2	1			1				15	1		1
Sales														
Administrative Support			1	1							5	13		3
Services														
Crafts														
Operative Workers														
Transportation														
Laborers*														

\*Construction laborers and other field employees are not to be included on this page

Totals Each Column	6	1	27	6	9	2	3		1	1	143	76	8	8
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Grand Total All Employees	291
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Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled:

Disabled			5	1							12	13	1	1
----------	--	--	---	---	--	--	--	--	--	--	----	----	---	---

Non-Profit Organizations Only:

Board of Directors														
Volunteers														
Artists														

(Authorized Signature)

(Print Authorized Signature Name)

**WORK FORCE REPORT – Page 2**

NAME OF FIRM: Kimley-Horn and Associates, Inc. DATE: September 9, 2024

OFFICE(S) or BRANCH(ES): Pleasanton COUNTY: Alameda

1. INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black or African-American
- (2) Hispanic or Latino
- (3) Asian
- (4) American Indian or Alaska Native
- (5) Native Hawaiian or Pacific Islander
- (6) White
- (7) Other race/ethnicity; not falling into other groups

Definitions of the race and ethnicity categories can be found on Page 4

ADMINISTRATION OCCUPATIONAL CATEGORY	(1) African American		(2) Hispanic or Latino		(3) Asian		(4) American Indian/ Nat. Alaskan		(5) Pacific Islander		(6) White		(7) Other Ethnicity	
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)
Management & Financial					1						3			
Professional	1		12	3	11	8					24	7	3	3
A&E, Science, Computer														
Technical			2											1
Sales														
Administrative Support		1										1		
Services														
Crafts														
Operative Workers														
Transportation														
Laborers*														

\*Construction laborers and other field employees are not to be included on this page

Totals Each Column	1	1	14	3	12	8					27	8	3	4
--------------------	---	---	----	---	----	---	--	--	--	--	----	---	---	---

Grand Total All Employees	81
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Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled:

Disabled			1		2	1					1		1	
----------	--	--	---	--	---	---	--	--	--	--	---	--	---	--

Non-Profit Organizations Only:

Board of Directors														
Volunteers														
Artists														

(Authorized Signature)

(Print Authorized Signature Name)

**WORK FORCE REPORT – Page 2**

NAME OF FIRM: Kimley-Horn and Associates, Inc. DATE: September 9, 2024

OFFICE(S) or BRANCH(ES): Riverside COUNTY: Riverside

1. INSTRUCTIONS: For each occupational category, indicate number of males and females in every ethnic group. Total columns in row provided. Sum of all totals should be equal to your total work force. Include all those employed by your company on either a full or part-time basis. The following groups are to be included in ethnic categories listed in columns below:

- (1) Black or African-American
- (2) Hispanic or Latino
- (3) Asian
- (4) American Indian or Alaska Native
- (5) Native Hawaiian or Pacific Islander
- (6) White
- (7) Other race/ethnicity; not falling into other groups

Definitions of the race and ethnicity categories can be found on Page 4

ADMINISTRATION OCCUPATIONAL CATEGORY	(1) African American		(2) Hispanic or Latino		(3) Asian		(4) American Indian/ Nat. Alaskan		(5) Pacific Islander		(6) White		(7) Other Ethnicity	
	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)	(M)	(F)
Management & Financial												2		
Professional	1		4	7	2							9	7	1 1
A&E, Science, Computer														
Technical			2									1		
Sales														
Administrative Support				1										
Services														
Crafts														
Operative Workers														
Transportation														
Laborers*														

\*Construction laborers and other field employees are not to be included on this page

Totals Each Column	1		6	8	2							12	7	1 1
--------------------	---	--	---	---	---	--	--	--	--	--	--	----	---	-----

Grand Total All Employees	38
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Indicate by Gender and Ethnicity the Number of Above Employees Who Are Disabled:

Disabled				1	1							2	2	
----------	--	--	--	---	---	--	--	--	--	--	--	---	---	--

Non-Profit Organizations Only:

Board of Directors														
Volunteers														
Artists														

## SUBCONTRACTOR PARTICIPATION LIST

This list shall include the name and complete address of all Subcontractors who qualify as SLBEs or ELBEs. Consultants must also list participation by any MBE, WBE, DBE, DBVE and OBE firms. However, no additional points will be awarded for participation by these firms, except that DVBEs that are certified by the City as local businesses shall be counted as SLBEs.

Subcontractors shall be used in the percentages listed. **NOTE:** If percentages are listed as a range, the **minimum number identified** in the range will be used to calculate overall subcontractor participation.

No changes to this Participation List will be allowed without prior written City approval. The Consultant understands and agrees that violation of this clause shall be considered a material breach of the contract and may result in contract termination, debarment, or other sanctions.

NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (MBE/ WBE/DBE/ DVBE/OBE*)	WHERE CERTIFIED**
AirX Utility Surveyors 785 E. Mission Rd, Ste 100 San Marcos, CA 92069	CCTV	4%	SB; WBE	CA DGS; CPUC (Supplier Clearinghouse)
Baranek Consulting Group 9984 Scripps Ranch Blvd, #138 San Diego, CA 92131	Environmental Services	0%	ELBE	City of San Diego
Bender Rosenthal Inc. 2825 Watt Avenue, Suite 200 Sacramento, CA 95821	Right-of-Way	2%	SBE	CA DGS
Blue Lake Civil 7746 Blue Lake Drive San Diego, CA 92119	Civil Engineering Services	20%	DBE, SB (Micro); ELBE; WBE	CUCP; CA DGS; City of San Diego; CPUC (Supplier Clearinghouse)
CityWorks People + Places, Inc. 1526 India Street, Suite E San Diego, CA 92101	Public Outreach	3%	DBE; ELBE	CUCP; City of San Diego
DTN Engineers, Inc. 1313 North Milpitas Blvd., Suite 100 Milpitas, California 95035	Electrical Services	0%	OBE	N/A
The Engineering Partners, Inc. 10150 Meanley Drive, Suite 200 San Diego, CA 92131	Telemetry	0%	MBE	CPUC (Supplier Clearinghouse)
HELIX Environmental Planning, Inc. 7578 El Cajon Blvd. La Mesa, CA 91942	Environmental Services	0%	OBE	N/A
Barnett Quality Control Services, Inc. dba NOVA Services, Inc. 4373 Viewridge Avenue, Suite B San Diego, CA 92123	Geotechnical Services	3%	DVBE; SB; SLBE	CA DGS; City of San Diego
PdM Consulting, Inc. 4678 Adra Way Oceanside, CA 92056	Civil Engineering Services	6%	ELBE	City of San Diego



NAME AND ADDRESS SUBCONTRACTORS	SCOPE OF SERVICES	PERCENT OF CONTRACT	SLBE/ELBE (MBE/ WBE/DBE/ DVBE/OBE*)	WHERE CERTIFIED**
Pure Technologies U.S. Inc. (Xylem) 8920 State Route 108, Suite D Columbia, Maryland USA 21045	Condition Assessment & Corrosion Control	0%	OBE	N/A
Quality Infrastructure Corporation 7777 Alvarado Road, Suite 606 La Mesa, CA, 91942	Civil Engineering Services	0%	SLBE	City of San Diego
San Dieguito Engineering, Inc. 1911 Palomar Oaks Way, Suite 200 Carlsbad, CA 92008	Surveying Services	8%	DBE; MBE; SB (Micro); SLBE; WBE	CUCP; CPUC (Supplier Clearinghouse); City of San Diego
V&A Consulting Engineers, Inc. 1000 Broadway, Suite 320 Oakland, CA 94607	Condition Assessment & Corrosion Control	0%	DBE; SB; WBE; WOSB	CUCP; CA DGS; CPUC (Supplier Clearinghouse); WBENC

List of Abbreviations:

**Small Local Business Enterprise**  
**Emerging Local Business Enterprise**  
 Certified Minority Business Enterprise  
 Certified Woman Business Enterprise  
 Certified Disadvantaged Business Enterprise  
 Certified Disabled Veteran Business Enterprise  
 Other Business Enterprise

**SLBE**  
**ELBE**  
 MBE\*  
 WBE\*  
 DBE\*  
 DVBE\*  
 OBE\*

\* Listed for informational purposes only.

\*\* Consultant shall indicate if Subcontractor is certified by one of the agencies listed in Section VII of the Equal Opportunity Contracting Program (EOCP) Consultant Requirements.

**LIST OF WORK MADE AVAILABLE**

List items of the Work the Bidder made available to SLBE-ELBE firms. Identify those items of the Work the Bidder might otherwise perform with its own forces and those items that have been broken down into economically feasible units to facilitate SLBE-ELBE participation. For each item listed, show the dollar amount and percentage of the Base Bid. The Bidder must demonstrate that enough work to meet the goal was made available to SLBE-ELBE firms.

<b>ITEM OF WORK MADE AVAILABLE</b>	<b>NAICS CODE</b>	<b>BIDDER NORMALLY PERFORMS ITEM (Y/N)</b>	<b>ITEM BROKEN DOWN TO FACILITATE PARTICIPATION (Y/N)</b>	<b>AMOUNT</b>	<b>PERCENTAGE OF BASE BID</b>
Potholing	238910	N	Y	\$77,265.00	4%
Public Outreach	813319	N	Y	\$64,944.00	3%
ADA Curb Ramps	541330	N	Y	\$113,120.00	6%
Surveying	541370	N	Y	\$154,618.00	8%
Tunneling Design	541330	N	Y	398,339.00	20%
Right-of-Way	238910	N	Y	\$44,000.00	2%

Geotechnical	541330; 541380	N	Y	\$60,134.00	3%
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**INSTRUCTION SHEET FOR**  
**DISCLOSURE DETERMINATION FOR CONSULTANT**  
**(Form CC-1671)**

Use the “Disclosure Determination for Consultant” form (CC-1671) to report the disclosure requirement for any consultant hired to provide services to the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego’s jurisdiction.

2 California Code of Regulations defines a “consultant” as an individual who, pursuant to a contract with a state or local government agency, either makes a governmental decision or serves in a staff capacity with the state or local government agency and in that capacity participates in making a governmental decision.

The “Disclosure Determination for Consultant” form is completed for all consultants under contract with the City of San Diego or the boards, commissions and agencies that fall under the City of San Diego’s jurisdiction. Please follow the step-by-step directions:

1. List the department, board, commission or agency requesting the consultant service.
2. List the consulting company. If known, also list the individual(s) who will be providing the consultant services.
3. List the mailing address.
4. List the e-mail address of individual(s) providing the consultant service.
5. Provide the date the individual(s) will start providing the consultant service.
6. List all duties/responsibilities the consultant will have. This list will enable you to determine the disclosure requirement for the consultant.
7. Determine the consultant’s disclosure category. Your consultant should be required to disclose only those economic interests which could potentially create a conflict of interest as he/she performs his/her contractual obligations. For ideas about possible disclosure categories, review those in your department’s, board’s, commission’s or agency’s conflict of interest code, available at:

[www.sandiego.gov/city-clerk/elections/eid/codes.shtml](http://www.sandiego.gov/city-clerk/elections/eid/codes.shtml)

Please fill out the entire “Disclosure Determination for Consultant” form, and have it signed by the appropriate authority. (Individuals with signing authority are described in your conflict of interest code as part of the disclosure requirement for Consultants.) Forward the original form to the City Clerk’s Office, MS 2A.

**DISCLOSURE DETERMINATION FOR CONSULTANT**

*\*Must be signed by department director, agency president or other individual authorized by the appropriate conflict of interest code regarding consultants.*

- 1. Department / Board / Commission / Agency Name: City of San Diego, Engineering & Capital Projects
- 2. Name of Specific Consultant & Company: Kimley-Horn and Associates, Inc.
- 3. Address, City, State, ZIP 401 B Street, Suite 600, San Diego, CA , 92101
- 4. Project Title (as shown on 1472, "Request for Council Action") Cabrillo Palisades 600 Rezoning  
H2426339-M
- 5. Consultant Duties for Project: Provide professional civil engineering services

6. Disclosure Determination [**select applicable disclosure requirement**]:

Consultant will not be "making a governmental decision" or "serving in a staff capacity." No disclosure required.

- or -

Consultant will be "making a governmental decision" or "serving in a staff capacity." Consultant is required to file a Statement of Economic Interests with the City Clerk of the City of San Diego in a timely manner as required by law. [**Select consultant's disclosure category.**]

Full: Disclosure is required pursuant to the broadest disclosure category in the appropriate Conflict of Interest Code.

- or -

Limited: Disclosure is required to a limited extent. [**List the specific economic interests the consultant is required to disclose.**]

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

By: Shadi Sami  
Shadi Sami/Deputy Director

9/17/24  
[Date]

*Once completed, with all questions answered and an authorized signature affixed, please forward the original form to the City Clerk's Office, MS 2A. Keep a copy with the contract.*

### **DEFINITION OF "CONSULTANT"**

2 California Code of Regulations defines a "consultant" as an individual who, pursuant to a contract with a state or local government agency:

- (A) Makes a governmental decision whether to:
1. Approve a rate, rule or regulation;
  2. Adopt or enforce a law;
  3. Issue, deny, suspend, or revoke any permit, license, application, certificate, approval, order, or similar authorization or entitlement;
  4. Authorize the City to enter into, modify, or renew a contract provided it is the type of contract that requires City approval;
  5. Grant City approval to a contract that requires City approval and to which the City is a party, or to the specifications for such a contract;
  6. Grant City approval to a plan, design, report, study, or similar item;
  7. Adopt, or grant City approval of, policies, standards, or guidelines for the City, or for any subdivision thereof; or
- (B) Serves in a staff capacity with the City and in that capacity participates in making a governmental decision as defined in Regulation 18702.2 or performs the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a position specified in the City's Conflict of Interest Code.

An individual "serves in a staff capacity" if he or she performs substantially all the same tasks that normally would be performed by staff member of a governmental entity. In most cases, individuals who work on only one project or a limited range of projects for an agency are not considered to be working in a "staff capacity." The length of the individual's service to the agency is relevant. Also, the tasks over the relevant period of time must be substantially the same as a position that is or should be specified in the City's conflict of interest code.

An individual "participates in making a governmental decision" if he or she: (1) negotiates, without substantive review, with a governmental entity or private person regarding the decision; or (2) advises or makes recommendations to the decision-maker, by conducting research or an investigation, preparing or presenting a report, analysis or opinion which requires the exercise of judgment on the part of the individual and the individual is attempting to influence the decision.

**CITY OF SAN DIEGO CONSULTANT PERFORMANCE EVALUATION**

The purpose of this form is to evaluate the consultant’s performance and will be retained by Public Works Contracts for five years to provide historical data to City staff when selecting consultants.

**Section I PROJECT INFORMATION**

1. PROJECT DATA	2. CONSULTANT DATA
1a. Project (title, location):	2a. Name, address, phone & email of Consultant:
1b. Brief Description:	2b. Consultant’s Project Manager:
1c. Contract Amount: \$ WBS/IO:	Phone: (        ) Email:
3. CITY DEPARTMENT RESPONSIBLE	
3a. Department (include Division):  Deputy Director:	3b. Project Manager (name, address, phone & email address):  Phone: (        ) Email:

**Section II SPECIFIC RATINGS**

PERFORMANCE EVALUATION	EXCELLENT	SATISFACTORY	UN-SATISFACTORY	N/A
<b>1. Quality of Report, Study, Plans, Specifications, etc. [Deliverables] of Scope as noted:</b>				
• Deliverables submitted were complete in all respects.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• All comments and review requests were adequately incorporated into Deliverables.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Deliverables were properly formatted and well-coordinated.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Writing style/presentation and terminology was clear and straightforward with adequate backup provided.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>2. Ability to adhere to contract schedule, budget, and overall timely responses as noted:</b>				
• Deliverables prepared in accordance with the agreed upon schedule(s).	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Consultant alerted the City to possible schedule problems well in advance of delays.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Consultant suggested solutions there were cost effective, appropriate and were provided in a timely manner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provided responses to RFI’s/emails/request for proposals, etc. in a timely manner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>3. Ability to manage project team, Subconsultants, and coordinate with City staff as noted:</b>				
• The Consultant was reasonable and fair during negotiations of the Agreement and/or on Task Orders.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant followed direction and chain of responsibility.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant reviewed and analyzed Subconsultant Deliverables and oversaw their work in an appropriate manner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provided adequate support/attendance during meetings.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section II

SPECIFIC RATINGS Continued

PERFORMANCE EVALUATION	EXCELLENT	SATISFACTORY	UN-SATISFACTORY	N/A
<b>4. Ability to manage responsibilities in the regulatory/approval process as noted:</b>				
• The Consultant researched and adhered to the necessary Federal/State/City code/regulations & requirements needed for the Deliverable.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant advise the City the necessary regulatory restrictions that needed to be adhered to.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>5. Quality of Construction/Design Support as noted:</b>				
• The drawings/plans reflected existing conditions accurately.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provided direction/support to the Resident Engineer and work cooperatively with them.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• The Consultant provide adequate support for As-Built drawings.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
• Change orders due to design deficiencies were kept to a minimum.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section III

SUPPLEMENTAL INFORMATION

(Please ensure to attach additional documentation as needed.)

(Supporting documentation attached: Yes  No )

Section IV

FINAL RATING

4. OVERALL RATING			
Consultant Rating	Excellent <input type="checkbox"/>	Satisfactory <input type="checkbox"/>	Unsatisfactory <input type="checkbox"/>
5. AUTHORIZING SIGNATURES			
5a. Project Manager _____	Name	Signature	Date
5b. Deputy Director _____	Name	Signature	Date
5c. Provided to Consultant _____	Name of Recipient	Signature	Date Provided
Consultant Concurrence*: Yes <input type="checkbox"/> No <input type="checkbox"/>			
*Note: Consultant has the right to appeal the contents of this evaluation. Please refer to SDMC 22.0811(a) for more details.			



**City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance**

The City of San Diego has adopted a Contractor Standards Ordinance (CSO) codified in section 22.3004 of the San Diego Municipal Code (SDMC). The City of San Diego uses the criteria set forth in the CSO to determine whether a bidder or proposer has the capacity to fully perform the contract requirements and the business integrity to justify the award of public funds. This completed Contractor Standards Pledge of Compliance (Pledge of Compliance) signed under penalty of perjury must be submitted with each bid and proposal. If an informal solicitation process is used, the bidder must submit this completed Pledge of Compliance to the City prior to execution of the contract. All responses must be typewritten or printed in ink. If an explanation is requested or additional space is required, Respondents must provide responses on Attachment "A" to the Pledge of Compliance and sign each page. Failure to submit a signed and completed Pledge of Compliance may render the bid or proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted. A submitted Pledge of Compliance is a public record and information contained within will be available for public review except to the extent that such information is exempt from disclosure pursuant to applicable law.

**A. BID/PROPOSER/SOLICITATION TITLE:**

Design of Cabrillo Palisades 600 Rezoning for the Engineering & Capital Projects Department

Contract Number H2426339-M

**B. BIDDER PROPOSER INFORMATION**

Kimley-Horn and Associates, Inc.

Legal Name	San Diego	DBA	CA	92101
401 B Stret, Suite 600	San Diego		CA	92101
Street Address	City	State	Zip	
Sam McWhorter, P.E., Vice President	619.744.0135	N/A		
Contact Person, Title	Phone	Fax		

Provide the name, identity, and precise nature of the interest\* of all persons who are directly or indirectly involved\*\* in this proposed transaction (SDMC § 21.0103). Use additional pages if necessary.

\* The precise nature of the interest includes:

- the percentage ownership interest in a party to the transaction,
- the percentage ownership interest in any firm, corporation, or partnership that will receive funds from the transaction,
- the value of any financial interest in the transaction,
- any contingent interest in the transaction and the value of such interest should the contingency be satisfied, and
- any philanthropic, scientific, artistic, or property interest in the transaction.

\*\* Directly or indirectly involved means pursuing the transaction by:

- communicating or negotiating with City officers or employees,
- submitting or preparing applications, bids, proposals or other documents for purposes of contracting with the City, or
- directing or supervising the actions of persons engaged in the above activity.

Momcilo (Momo) Savovic, P.E., CCM	Civil Engineer
Name	Title/Position
San Marcos, CA	
City and State of Residence	Employer (if different than Bidder/Proposer)
0%	
Interest in the transaction	

Sam McWhorter, P.E.	Vice President
Name	Title/Position
Escondido, CA	
City and State of Residence	Employer (if different than Bidder/Proposer)
0%	
Interest in the transaction	

Kirk Ammerman, P.E.	Associate
Name	Title/Position
Chula Vista, CA	
City and State of Residence	Employer (if different than Bidder/Proposer)
0%	
Interest in the transaction	

Frank Hoffmann, P.E.	Vice President
Name	Title/Position
Palm Springs, CA	
City and State of Residence	Employer (if different than Bidder/Proposer)
0%	
Interest in the transaction	

Sarp Sekeroglu, P.E.	Civil Engineer
Name	Title/Position
San Diego, CA	
City and State of Residence	Employer (if different than Bidder/Proposer)
0%	
Interest in the transaction	

Name	Title/Position
City and State of Residence	Employer (if different than Bidder/Proposer)
Interest in the transaction	

Maddie Priest, P.E.	Civil Engineer
Name	Title/Position
San Diego, CA	
City and State of Residence	Employer (if different than Bidder/Proposer)
0%	
Interest in the transaction	

Use Attachment "A" if additional pages are necessary.

**C. OWNERSHIP AND NAME CHANGES:**

1. In the past five (5) years, has your firm changed its name?

Yes  No

If **Yes**, use Attachment "A" to list all prior legal and DBA names, addresses, and dates each firm name was used. Explain the specific reasons for each name change.

2. In the past five (5) years, has a firm owner, partner, or officer operated a similar business?

Yes  No

If **Yes**, use Attachment "A" to list names and addresses of all businesses and the person who operated the business. Include information about a similar business only if an owner, partner, or officer of your firm holds or has held a similar position in another firm.

**D. BUSINESS ORGANIZATION/STRUCTURE:**

Indicate the organizational structure of your firm. Fill in only one section on this page. Use Attachment "A" if more space is required.

**Corporation**

Date incorporated: 02/10/1967 State of incorporation: North Carolina

List corporation's current officers:

President: Steven E. Lefton

Vice Pres.: (See attached Officers & Directors list)

Secretary: Richard N. Cook

Treasurer: David L. McEntee

Is your firm a publicly traded corporation?  **Yes**  **No**

If **Yes**, name those who own ten percent (10%) or more of the corporation's stocks:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Limited Liability Company**

Date formed: mm/dd/yyyy State of formation: \_\_\_\_\_

List names of members who own ten percent (10%) or more of the company:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**Partnership**

Date formed:  mm/dd/yyyy  State of formation:

List names of all firm partners:

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**Sole Proprietorship** Date started:  mm/dd/yyyy

List all firms you have been an owner, partner or officer with during the past five (5) years. Do not include ownership of stock in a publicly traded company:

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**Joint Venture** Date formed:  mm/dd/yyyy

List each firm in the joint venture and its percentage of ownership:

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**Note:** To be responsive, each member of a Joint Venture must complete a separate Pledge of Compliance.

**E. FINANCIAL RESOURCES AND RESPONSIBILITY:**

- 1. Is your firm preparing to be sold, in the process of being sold, or in negotiations to be sold?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain the circumstances, including the buyer's name and principal contact information.

- 2. In the past five (5) years, has your firm been denied bonding?  
 **Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances; include bonding company name.

3. In the past five (5) years, has a bonding company made any payments to satisfy claims made against a bond issued on your firm's behalf or a firm where you were the principal?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances.

4. In the past five (5) years, has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances.

5. Within the last five (5) years, has your firm filed a voluntary petition in bankruptcy, been adjudicated bankrupt, or made a general assignment for the benefit of creditors?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances.

6. Please provide the name of your principal financial institution for financial reference. By submitting a response to this Solicitation Contractor authorizes a release of credit information for verification of financial responsibility.

Name of Bank: Wells Fargo Bank, N.A.

Point of Contact: Michael Pugsley, Senior Vice President

Address: 150 Fayetteville Street, Suite 600 PO Box 3008, Raleigh, NC 27601

Phone Number: (919) 881-6469

7. By submitting a response to a City solicitation, Contractor certifies that he or she has sufficient operating capital and/or financial reserves to properly fund the requirements identified in the solicitation. At City's request, Contractor will promptly provide to City a copy of Contractor's most recent balance sheet and/or other necessary financial statements to substantiate financial ability to perform.

**F. PERFORMANCE HISTORY:**

1. In the past five (5) years, has your firm been found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for defaulting or breaching a contract with a government agency?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances.

2. In the past five (5) years, has a public entity terminated your firm's contract for cause prior to contract completion?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances and provide principal contact information.

3. In the past five (5) years, has your firm entered into any settlement agreement for any lawsuit that alleged contract default, breach of contract, or fraud with or against a public entity?

Yes       No

If **Yes**, use Attachment "A" to explain specific circumstances.

4. Is your firm currently involved in any lawsuit with a government agency in which it is alleged that your firm has defaulted on a contract, breached a contract, or committed fraud?

**Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances.

5. In the past five (5) years, has your firm, or any firm with which any of your firm's owners, partners, or officers is or was associated, been debarred, disqualified, removed, or otherwise prevented from bidding on or completing any government or public agency contract for any reason?

**Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances.

6. In the past five (5) years, has your firm received a notice to cure or a notice of default on a contract with any public agency?

**Yes**       **No**

If **Yes**, use Attachment "A" to explain specific circumstances and how the matter resolved.

7. Performance References:

Please provide a minimum of three (3) references familiar with work performed by your firm which was of a similar size and nature to the subject solicitation within the last five (5) years.

Company Name: City of San Diego - , Group Jobs 745, 762, 765, 767, 768, and 822 Water and Sewer Replacements

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Contact Name and Phone Number: Cha Moua, 858.654.4181

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Contact Email: cmoua@sandiego.gov

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Address: 9192 Topaz Way, San Diego, CA 92123

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Contract Date: 2006 - 2015

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Contract Amount: \$2M

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Requirements of Contract: Kimley-Horn provided preliminary engineering and final design services for the analysis and replacement of sewer and water lines located throughout the City of San Diego. These included Group Jobs 745, 762, 765, 767, 768, 805, and 822. The total length of sewer pipeline replaced was 14.9 miles and ranged in size from 8-inch to 39-inch. The total length of water pipeline replaced was 3.0 miles and included 8-inch, 12-inch, 16-inch, and an interconnection to a 36-inch main.

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Company Name: City of San Diego - Group Job 1056 Pressure Reducing Stations

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Contact Name and Phone Number: Sheila Bose, 619.533.4698

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Contact Email: sbose@sandiego.gov

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Address: 525 B Street, San Diego, CA 92101

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Contract Date: 2020 - Ongoing

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Contract Amount: \$169,219

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Requirements of Contract: Kimley-Horn provided design services for three pressure reducing stations located in the Tierrasanta neighborhood within the City of San Diego. The design included modeling the flows through the valves and selecting sizes for the pressure reducing valves (PRVs) to serve the lower hydraulic grade line (HGL) zones in the community. The project included design for the valves, high flow (fire flow conditions) valves, and normal domestic valve sizing. Concrete vaults that included access hatches, sumps, ladders, and associated pressure sensors were included in the design along with complete electrical and integration plans to tie the PRVs into the City of San Diego's SCADA System.

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Company Name: City of Oceanside - Downtown Water and Sewer Replacements Phase 2

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Contact Name and Phone Number: Phillip Tunnel, 760.435.5848

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Contact Email: ptunnell@oceansideca.org

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Address: 300 North Coast Highway, Oceanside, CA 92054

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Contract Date: 2018 - Ongoing

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Contract Amount: \$718,700

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Requirements of Contract: Kimley-Horn is currently preparing the final design PS&E for the replacement of 3.5 miles of water and 2.5 miles of sewer pipelines throughout the downtown area of Oceanside. The replacements will upgrade the city's aging infrastructure, some of which was constructed in the 1930s. New water and sewer pipes along with new valves, appurtenances, meters, and manholes will be installed. The project also includes the replumbing of several properties to a new sewer main in the street to eliminate sewers running behind properties that have been encroached upon. Project challenges include working directly adjacent to the beach, high groundwater/tidal influence, utility crossings, relocating sewers that currently run under private buildings, and rehabilitating existing sewer manholes. The project also includes the extension/connection of a recycled water network to serve potential recycled water customers throughout the downtown area.

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**G. COMPLIANCE:**

1. In the past five (5) years, has your firm or any firm owner, partner, officer, executive, or manager been criminally penalized or found civilly liable, either in a court of law or pursuant to the terms of a settlement agreement, for violating any federal, state, or local law in performance of a contract, including but not limited to, laws regarding health and safety, labor and employment, permitting, and licensing laws?

Yes       No

If Yes, use Attachment "A" to explain specific circumstances surrounding each instance. Include the name of the entity involved, the specific infraction(s) or violation(s), dates of instances, and outcome with current status.

2. In the past five (5) years, has your firm been determined to be non-responsible by a public entity?  
 Yes       No

If Yes, use Attachment "A" to explain specific circumstances of each instance. Include the name of the entity involved, the specific infraction, dates, and outcome.

**H. BUSINESS INTEGRITY:**

1. In the past five (5) years, has your firm been convicted of or found liable in a civil suit for making a false claim or material misrepresentation to a private or public entity?  
 Yes       No

If Yes, use Attachment "A" to explain specific circumstances of each instance. Include the entity involved, specific violation(s), dates, outcome and current status.

2. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a crime, including misdemeanors, or been found liable in a civil suit involving the bidding, awarding, or performance of a government contract?  
 Yes       No

If Yes, use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

3. In the past five (5) years, has your firm or any of its executives, management personnel, or owners been convicted of a federal, state, or local crime of fraud, theft, or any other act of dishonesty?  
 Yes       No

If Yes, use Attachment "A" to explain specific circumstances of each instance; include the entity involved, specific infraction(s), dates, outcome and current status.

**I. WAGE COMPLIANCE:**

In the past five (5) years, has your firm been required to pay back wages or penalties for failure to comply with the federal, state or local prevailing, minimum, or living wage laws?

- Yes       No

If Yes, use Attachment "A" to explain the specific circumstances of each instance. Include the entity involved, the specific infraction(s), dates, outcome, and current status.

**J. STATEMENT OF SUBCONTRACTORS:**

Please provide the names and information for all subcontractors used in the performance of the proposed contract, and what portion of work will be assigned to each subcontractor. Subcontractors may not be substituted without the written consent of the City. Use Attachment "A" if additional pages are necessary. If no subcontractors will be used, please check here  Not Applicable.

Company Name: AirX Utility Surveyors

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Contact Name and Phone Number: Jen Harris, 760-480-2347 x101

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Contact Email: estimating@airxus.com

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Address: 785 E. Mission Rd Ste 100 San Marcos, CA 92069

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Contract Date: TBD

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Contract Amount: TBD

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Requirements of Contract: CCTV

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What portion of work will be assigned to this subcontractor: Approx 2%

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Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? (Check One) Yes  No

If Yes, Contractor must provide valid proof of certification with the response to the bid or proposal.

**K. STATEMENT OF AVAILABLE EQUIPMENT:**

List all necessary equipment to complete the work specified using Attachment "A". In instances where the required equipment is not owned by the Contractor, Contractor shall explain how the equipment will be made available before the commencement of work. The City of San Diego reserves the right to reject any response when, in its opinion, the Contractor has not demonstrated he or she will be properly equipped to perform the work in an efficient, effective manner for the duration of the contract period.

If no equipment is necessary to complete the work specified, please check here  Not Applicable.

**L. TYPE OF SUBMISSION: This document is submitted as:**

Pledge of Compliance Initial submission.

OR

Update to prior Pledge of Compliance dated 11/1/2023

**Complete all questions and sign below.**

Under penalty of perjury under the laws of the State of California, I certify that I have read and understand the questions contained in this Pledge of Compliance, that I am responsible for completeness and accuracy of the responses contained herein, and that all information provided is true, full and complete to the best of my knowledge and belief. I agree to provide written notice to the Purchasing Agent within five (5) business days if, at any time, I learn that any portion of this Pledge of Compliance requires an updated response. Failure to timely provide the Purchasing Agent with written notice is grounds for Contract termination.

I, on behalf of the firm, further certify that I and my firm will comply with the following provisions of SDMC section 22.3004:

(a) I and my firm will comply with all applicable local, State and Federal laws, including health and safety, labor and employment, and licensing laws that affect the employees, worksite or performance of the contract.

(b) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of receiving notice that a government agency has begun an investigation of me or my firm that may result in a finding that I or my firm is or was not in compliance with laws stated in paragraph (a).

(c) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of a finding by a government agency or court of competent jurisdiction of a violation by the Contractor of laws stated in paragraph (a).

(d) I and my firm will notify the Purchasing Agent in writing within fifteen (15) calendar days of becoming aware of an investigation or finding by a government agency or court of competent jurisdiction of a violation by a subcontractor of laws stated in paragraph (a).

(e) I and my firm will cooperate fully with the City during any investigation and agree to respond to a request for information within ten (10) working days.

**Failure to sign and submit this form with the bid/proposal shall make the bid/proposal non-responsive. In the case of an informal solicitation, the contract will not be awarded unless a signed and completed Pledge of Compliance is submitted.**

<u>Samuel L. McWhorter, P.E, Vice President</u>		<u>September 9, 2024</u>
Name and Title	Signature	Date

City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here  Not Applicable.

B. Bidder Proposer Information - (key persons of interest continued)

Name: Taylor Thorig, P.E.  
 Title/Position: Civil Engineer  
 City and State of Residence: San Diego, CA  
 Employer (if different than Bidder/Proposer): n/a  
 Interest in the transaction: 0%

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Samuel L. McWhorter, P.E., Vice President		September 9, 2024
Print Name, Title	Signature	Date

City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here  Not Applicable.

PRINCIPAL OFFICERS:

Barry L. Barber, Chairman  
Steven E. Lefton, CEO, President  
Richard N. Cook,  
Senior Vice President, Secretary  
Tammy L. Flanagan,  
CFO, Senior Vice President  
David L. McEntee, Vice President, Treasurer, Assistant Secretary

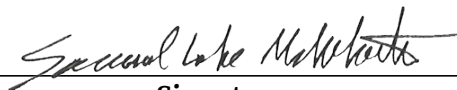
DIRECTORS:

Barry L. Barber, Chairman  
Stephen W. Blakley, Jr., Senior Vice President  
Scott W. Colvin, Senior Vice President  
William E. Dvorak, Jr., Senior Vice President  
Tammy L. Flanagan, Senior Vice President  
Ashley M. Frysinger, Senior Vice President  
Brian A. Good, Senior Vice President  
Jennifer L. Harry, Senior Vice President  
Joseph D. Kaltsas, Senior Vice President  
Steven E. Lefton, CEO, President  
Brent H. Mutti, Senior Vice President  
Aaron W. Nathan, Senior Vice President  
Kevin M. Schanen, Senior Vice President

Kimley-Horn and Associates, Inc. is wholly owned by Associates Group Services, Inc., which is wholly owned by APHC, Inc., which is owned by over 700 Kimley-Horn employees, none of which own 2% or more of the outstanding shares.

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Samuel L. McWhorter, P.E., Vice President



September 9, 2024

Print Name, Title

Signature

Date

**City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"**

**Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.**

**If not using this Attachment "A", please check here  Not Applicable.**

**J. STATEMENT OF SUBCONTRACTORS (continued)**

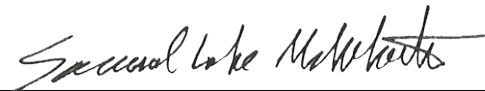
Company Name: Baranek Consulting Group  
 Contact Name and Phone Number: Kim Baranek, 858.922.8604  
 Contact Email: kim@baranekconsulting.com  
 Address: 9984 Scripps Ranch Blvd, #138, San Diego, CA 92131  
 Contract Date: TBD  
 Contract Amount: TBD  
 Requirements of Contract: Environmental Services  
 What portion of work will be assigned to this subcontractor: Approx. 0%  
 Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

Company Name: Blue Lake Civil  
 Contact Name and Phone Number: Margaret Witt, 857.253.8684  
 Contact Email: maggie.witt@bluelakecivil.com  
 Address: 5480 Baltimore Drive, Ste 215, La Mesa, CA 91942  
 Contract Date: TBD  
 Contract Amount: \$398,330.00  
 Requirements of Contract: Civil Engineering Services  
 What portion of work will be assigned to this subcontractor: 20%  
 Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? OBE

Company Name: CityWorks People + Places, Inc.  
 Contact Name and Phone Number: Laura Warner, 619.238.9091  
 Contact Email: laura@cityworks.biz  
 Address: 1526 India Street, Suite E, San Diego, CA 92101  
 Contract Date: TBD  
 Contract Amount: \$64,944.00  
 Requirements of Contract: Public Outreach  
 What portion of work will be assigned to this subcontractor: 3%  
 Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

**I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.**

Samuel L. McWhorter, P.E., Vice President



September 9, 2024

**Print Name, Title**

**Signature**

**Date**

City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here  Not Applicable.

J. STATEMENT OF SUBCONTRACTORS (continued)

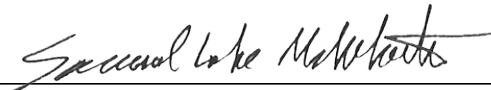
Company Name: DTN Engineers, Inc.  
Contact Name and Phone Number: Diep Nguyen, 408.262.0441  
Contact Email: Dnguyen@dtnegrs.com  
Address: 1313 North Milpitas Blvd., Suite 100, Milpitas, California 95035  
Contract Date: TBD  
Contract Amount: TBD  
Requirements of Contract: Corrosion Conditions  
What portion of work will be assigned to this subcontractor: Approx. 0%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? OBE

Company Name: Engineering Partners  
Contact Name and Phone Number: Bobby Eugenio, 858.824.1761  
Contact Email: bobby@engineeringpartners.com  
Address: 10150 Meanley Drive, Suite 200, San Diego, CA 92131  
Contract Date: TBD  
Contract Amount: TBD  
Requirements of Contract: Telemetry  
What portion of work will be assigned to this subcontractor: Approx. 0%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

Company Name: HELIX Environmental Planning, Inc.  
Contact Name and Phone Number: Andrea Bitterling, 619.462.1515  
Contact Email: AndreaB@helixepi.com  
Address: 7578 El Cajon Blvd., La Mesa, CA 91942  
Contract Date: TBD  
Contract Amount: TBD  
Requirements of Contract: Environmental Services  
What portion of work will be assigned to this subcontractor: Approx. 0%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? OBE

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Samuel L. McWhorter, P.E., Vice President



September 9, 2024

Print Name, Title

Signature

Date

City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here  Not Applicable.

J. STATEMENT OF SUBCONTRACTORS (continued)

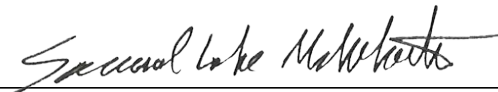
Company Name: Barnett Quality Control Services, Inc. dba NOVA Services, Inc.  
Contact Name and Phone Number: Andrew Neuhaus, 619.922.6889  
Contact Email: aneuhaus@usa-nova.com  
Address: 4373 Viewridge Avenue, Suite B, San Diego, CA 92123  
Contract Date: TBD  
Contract Amount: \$60,134.00  
Requirements of Contract: Geotechnical Services  
What portion of work will be assigned to this subcontractor: 3%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

Company Name: PdM Consulting, Inc.  
Contact Name and Phone Number: Pieter de Monchy, 760.431.1047  
Contact Email: pieter@pdmconsult.com  
Address: 4678 Adra Way, Oceanside, CA 92056  
Contract Date: TBD  
Contract Amount: \$113,120.00  
Requirements of Contract: Civil Engineering Services  
What portion of work will be assigned to this subcontractor: 6%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

Company Name: Pure Technologies U.S. Inc. (Xylem)  
Contact Name and Phone Number: Christopher Aronitz, 619.514.9140  
Contact Email: Christopher.Aronitz@Xylem.com  
Address: 9940 Summers Ridge Rd, San Diego, CA 92121  
Contract Date: TBD  
Contract Amount: TBD  
Requirements of Contract: Corrosion Conditions  
What portion of work will be assigned to this subcontractor: Approx. 0%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? OBE

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Samuel L. McWhorter, P.E., Vice President



September 9, 2024

Print Name, Title

Signature

Date

City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

If not using this Attachment "A", please check here  Not Applicable.

J. STATEMENT OF SUBCONTRACTORS (continued)

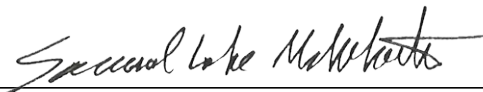
Company Name: Quality Infrastructure Corporation  
Contact Name and Phone Number: Kirk Bradbury, 619.741.9400  
Contact Email: kbradbury@qualityinfrastructure.com  
Address: 7777 Alvarado Road, Suite 606, La Mesa, CA, 91942  
Contract Date: TBD  
Contract Amount: TBD  
Requirements of Contract: Civil Engineering Services  
What portion of work will be assigned to this subcontractor: Approx. 0%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

Company Name: San Dieguito Engineering, Inc.  
Contact Name and Phone Number: Kevin D. McHugh Jr., 858.345.1170  
Contact Email: kmchugh@sdeinc.com  
Address: 1911 Palomar Oaks Way, Suite 200, Carlsbad, CA 92008  
Contract Date: TBD  
Contract Amount: \$154,618.00  
Requirements of Contract: Surveying Services  
What portion of work will be assigned to this subcontractor: 8%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

Company Name: V&A Consulting Engineers, Inc.  
Contact Name and Phone Number: Brian Briones, 858.576.0226  
Contact Email: bbriones@vaengineering.com  
Address: 11011 Via Frontera, Suite C, San Diego, CA 92127  
Contract Date: TBD  
Contract Amount: TBD  
Requirements of Contract: Corrosion Conditions  
What portion of work will be assigned to this subcontractor: Approx. 0%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

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Samuel L. McWhorter, P.E., Vice President



September 9, 2024

Print Name, Title

Signature

Date



City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

Provide additional information in space below. Use additional Attachment "A" pages as needed. Each page must be signed. Print in ink or type responses and indicate question being answered.

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HELIX ENVIRONMENTAL PLANNING, INC.  
- SEE ATTACHED PAGES

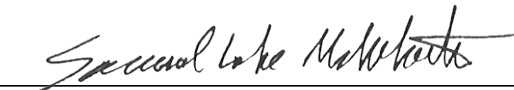
BARNETT QUALITY CONTROL SERVICES, INC. dba NOVA SERVICES, INC.  
NOVA will use all typical equipment needed to complete a geotechnical investigation and any laboratory testing would be performed in their City of San Diego certified in-house laboratory. Drill rigs are not owned by NOVA, but as they perform geotechnical investigations on a regular basis, they have relationships with drilling companies and can mobilize quickly.

SAN DIEGUITO ENGINEERING, INC.  
- TSC3 Controller  
- Leica MS-60  
- Leica CS-20 and CS-15 Controllers

V&A CONSULTING ENGINEERING, INC.  
- SEE ATTACHED PAGES

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Samuel L. McWhorter, P.E., Vice President



September 9, 2024

Print Name, Title

Signature

Date

City of San Diego  
CONTRACTOR STANDARDS  
Pledge of Compliance Attachment "A"

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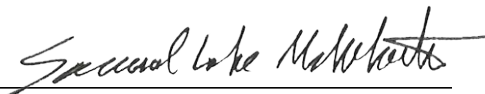
If not using this Attachment "A", please check here  Not Applicable.

J. STATEMENT OF SUBCONTRACTORS (continued)

Company Name: Bender Rosenthal Inc.  
Contact Name and Phone Number: Chip Willett, (916) 978-4900 ext. 5001  
Contact Email: c.willett@benderrosenthal.com  
Address: 2825 Watt Ave, Suite 200 Sacramento, CA 95821  
Contract Date: TBD  
Contract Amount: \$44,000.00  
Requirements of Contract: Right-of-way services  
What portion of work will be assigned to this subcontractor: 2%  
Is the Subcontractor a certified SLBE, ELBE, MBE, DBE, DVBE, or OBE? YES

I have read the matters and statements made in this Pledge of Compliance and Attachment "A"(s) there to and I know the same to be true of my own knowledge, except as to those matters stated upon information or belief and as to such matters, I believe the same to be true. I certify under penalty of perjury that the foregoing is true and correct.

Samuel L. McWhorter, P.E., Vice President



September 9, 2024

Print Name, Title

Signature

Date

## HELIX Statement of Available Equipment

HELIX owns and operates the equipment, tools, and vehicles necessary to successfully complete biological, archaeological, and restoration fieldwork. To support these fieldwork tasks, safety, and general project communications between office and field staff and for coordination with agency personnel and construction operators, HELIX owns and utilizes the following equipment:

- Suzuki King Quad 400 ATV
- Pond Prowler II fishing boat (with motor)
- Water quality sampling equipment
- YSI Water Quality Meter
- Various biological survey and monitoring equipment
- Spotting scopes
- Trinocular microscopes
- Mobile smart phones
- Apple iPads with Wi-Fi service
- (3) GPS Units
- (6) GNSS Receivers
- (2) Phantom 4 Pro Drone
- (3) Spot Gen3 Satellite GPS Messenger
- (12) Field cameras
- Personal Protective Equipment (PPE) and fire extinguishers

Additional equipment and tools owned by HELIX for archaeological fieldwork projects includes:

- Buckets
- Screens (PVC)
- Screens (wooden)
- Shovels (square, round, and short)
- Picks
- Dig bars
- Stakes (wooden, metal – short and long)
- Knee pads
- Tarps
- Mallets
- Clip boards
- Brushes
- Trowel (flat and point)
- String lines
- Tape measures
- Cloth metric/foot tapes
- Hatchet
- Portable file case
- Unit frame
- Drying screens
- Wet screen system
- Wash basins
- Colanders (plastic and metal)
- Towels
- Toothbrushes
- Metal picks
- Scales
- Munsell Soil Color Book
- Curation supplies
- Drying racks
- Microscope
- Flags
- Pulaski
- PPE and fire extinguishers

To support habitat construction restoration and maintenance work, HELIX owns and utilizes the equipment listed below. When needed, they can also supplement HELIX-owned equipment and vehicles with additional rentals, which are easily acquired on a project-specific basis.

- (4) 500-gallon water trailers
- (3) Landscaping irrigation trailers

- (2) Kubota tractor
- 4WD Kubota utility vehicle with adjustable, up to 8' wide boom sprayer
- Flail mower
- Tractor-mounted auger
- Tractor disc
- Harrow drag
- Ground Shark (brush mower)
- (4) Flatbed trailers
- (2) Dump bed trailers
- Office trailers
- Weed whips/line trimmers
- Hedge trimmers
- Jack hammers
- Chain saws
- Generators
- Various Power Tools
- Various Hand Tools
- Backpack Sprayers
- PPE

V&A Project No. 23-0339

9-28-2023

Sydney Ozawa  
Proposal Manager  
Kimley Horn  
401 B Street #600  
San Diego, CA 92101

**Subject:** City of San Diego, Design of Del Mar Heights Improvement 1

Dear Sydney Ozawa:

Below is the equipment list that will be used for the City of San Diego project on the design of Del Mar Heights Improvement 1. All equipment is owned and maintained by V&A and will be available throughout the project.

Equipment Type	Equipment Name	Owned by V&A
Sounding Hammer	Proceq Schmidt Hammer	Yes
pH Sensitive Pencil	Hydrion Micro Essential pH Pencil	Yes
Surface Penetrating Radar	Geophysical Survey Systems	Yes
Dry Film Thickness Gauge (up to 60 millimeter)	Elcometer 456 DFT Gauge	Yes
Dry Film Thickness Gauge (up to 200 millimeter)	Quanix DFT Gauge	Yes
Ultrasonic Thickness Gauge	Olympus 38DL Plus UT Gauge	Yes
Digital Multimeter	Fluke 289 Multimeter	Yes
Cathodic Protection Reference Electrodes Copper/Copper Sulfate (CUSO4)	MC Miller IonX	Yes
Current Interrupter	MicroMax GPS350	Yes
Insulating Flange Kit Tester	T&R RF-IT Insulator Tester	Yes
Portable Rectifier	Universal Rectifier 40V/5A	Yes
Inverter	HONDA EU Inverter 2000i	Yes
Soil Resistivity Testing	AEMC 6471	Yes
Ground Resistance Tester	AEMC 4620	Yes
Recording Voltmeter	Fluke 2635A	Yes
4-Gas Confined Space Meter	BW Honeywell	Yes
12,000 CFM Trailer Mounted Ventilation Blower	Honda 3000	Yes
(2) Self-Contained Breathing Apparatus	Surviair	Yes
Ladders	6-foot, 20-foot, 25-foot, 27-foot, 32-foot Werner Ladders	Yes
Davit-Arm	Tuft Built	Yes

Self-Retracting Line	Falltech	Yes
Winch	Harbor Freight	Yes

Sincerely,  
V&A Consulting Engineers, Inc.



Brian Briones, P.E.  
Southwest Regional Manager